

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	20088-13
First Inventor or Application Identifier	Shlomo Ben-Haim
Title	MEDICAL DIAGNOSIS TREATMENT AND ...
Express Mail Label No.	EL007668528

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages   
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets
- Oath or Declaration [Total Pages 
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ \* Small Entity Statement filed in prior application, Status still proper and desired  
(PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: .....

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08 / 793,371  
 Prior application information: Examiner B. Casler Group / Art Unit: 1911

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	William H. Dippert				
Address	Cowan, Liebowitz & Latman, P.C.				
	1133 Avenue of the Americas				
City	New York	State	NY	Zip Code	10036-6799
Country	USA	Telephone	(212) 790-9200	Fax	(212) 575-0671

Name (Print/Type)	William H. Dippert	Registration No. (Attorney/Agent)	26,723
Signature	<i>William H. Dippert</i>	Date	March 23, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Shlomo Ben-Haim**

Serial No.: To be assigned

Filed: Concurrently herewith

For: **MEDICAL DIAGNOSIS, TREATMENT  
AND IMAGING SYSTEM**

March 23, 1999

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

S I R:

Prior to examination, please amend the above-referenced  
patent application as follows:

IN THE CLAIMS:

Cancel Claims 1 to 68 without prejudice and substitute  
therefor the following:

-- 69. A system for percutaneous treatment of a patient's  
heart, comprising:

a catheter, the catheter having a proximal end and a  
distal end;

an active portion at the distal end of the catheter for  
applying laser energy operable to ablate a portion of the heart;  
and

a position sensor operable to provide sensing of the position of the catheter distal end.

-- 70. The system for percutaneous treatment of Claim 69, further including an optical waveguide for energizing the active portion.

-- 71. The system for percutaneous treatment of Claim 70, further including an ECG monitor for synchronizing with the position sensor.

-- 72. The system for percutaneous treatment of Claim 71, further including a reference sensor to correct for breathing motion or patient movement.

-- 73. The system for percutaneous treatment of Claim 69, wherein the position sensor is operable to provide sensing of the position of the catheter distal end by use of magnetic fields.

-- 74. The system for percutaneous treatment of Claim 73, wherein the position sensor includes at least two non-coplanar magnetic elements.

-- 75. The system for percutaneous treatment of Claim 74, further comprising a plurality of external magnetic elements for placement outside the patient.

-- 76. The system for percutaneous treatment of Claim 75, wherein the external magnetic elements establish magnetic fields which are sensed by the position sensor.

-- 77. The system for percutaneous treatment of Claim 76, wherein the plurality of external magnetic elements establish different magnetic fields sequentially and the position sensor is operable to sense the different fields.

-- 78. The system for percutaneous treatment of Claim 77, wherein the plurality of external magnetic elements are three coils, the coils being sequentially energized.

-- 79. The system for percutaneous treatment of Claim 69, wherein the position sensor includes at least one magnetic element and further comprises a plurality of external magnetic elements for placement outside the patient.

-- 80. The system for percutaneous treatment of Claim 70, wherein a chamber of the patient's heart is treated.

-- 81. The system for percutaneous treatment of Claim 74, wherein the position sensor includes wires for carrying position signals between the position sensor and the catheter proximal end.

-- 82. The system for percutaneous treatment of Claim 70, wherein the catheter comprises means for rotating or deflecting the distal end of the catheter.

-- 83. A method of treating a patient's heart comprising the steps of:

(a) percutaneously inserting a catheter into a heart of a patient, the catheter having a proximal end and a distal end, an

active portion at the distal end of the catheter for applying laser energy, and a position sensor;

(b) sensing the position of the catheter distal end using magnetic fields and the position sensor;

(c) using the position sensor to reference the catheter distal end;

(d) positioning the catheter such that its distal end is adjacent tissue of the heart to be treated; and

(e) applying laser energy from the active portion to the patient's heart tissue.

-- 84. The method of Claim 83, including utilizing an ECG monitor for synchronization with the position sensor.

-- 85. The method of Claim 84, including utilizing a reference sensor to correct for breathing motion or patient movement.

-- 86. The method of Claim 83, wherein laser energy is applied to the active portion through an optical waveguide --.

## REMARKS

In the amendment above, Claims 1 to 68 have been cancelled in favor of newly added Claims 69 to 115 to more particularly point out and distinctly claim certain aspects of Applicants' invention. At a minimum, support for newly added Claims 69 to 86 can be found, for example, as follows:

<u>Claim</u>	<u>Support</u>
69	Page 15, lines 7-23
70	Page 15, lines 14-16
71	Page 26, lines 3-6
72	Page 26, lines 3-12
73	Page 16, lines 13-15
74	Page 16, lines 13-25
75	Page 17, lines 1-31
76	Page 17, lines 1-31
77	Fig. 1; page 16, lines 13-25; page 17, lines 1-31
78	Page 8, lines 3-5 and 6-10; page 17, line 1, to page 18, line 31
79	Page 15, lines 17-23; page 17, line 1, to page 18, line 31
80	Page 16, lines 28-32
81	Page 18, lines 4-8
82	Page 12, lines 10-34

83

Page 6, lines 31-35; page 7,  
line 23, to page 8, line 2;  
page 9, lines 15-20; page 10,  
line 25, to page 11, line 1;  
page 15, lines 5-11; page 16,  
lines 26-36; page 32, lines  
19-34; Claims 20, 21, and 33-  
36

84

Page 26, lines 3-6

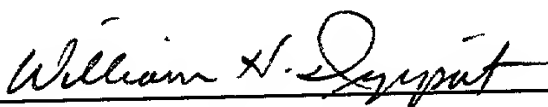
85

Page 26, lines 6-9

86

Page 15, lines 14-16; page 18,  
lines 28-31

Respectfully submitted,

  
William H. Dippert  
Registration No. 26,723

COWAN, LIEBOWITZ & LATMAN, P.C.  
1133 Avenue of the Americas  
New York, NY 1036-6799  
9212) 790-9200

1           MEDICAL DIAGNOSIS, TREATMENT AND IMAGING SYSTEMS

2                   FIELD OF THE INVENTION

3           The present invention relates to medical diagnosis,  
4 treatment and imaging systems. More particularly, the  
5 present invention relates to medical probes whose location  
6 can be detected and adjusted and which have an additional  
7 detection, imaging and/or treatment function.

8                   BACKGROUND OF THE INVENTION

9           Probes, such as catheters, suitable for various medical  
10 procedures and internal imaging, are fairly common. Such  
11 probes include: balloon angioplasty catheters, catheters  
12 with laser-, electrical- or cryo-ablation characteristics,  
13 catheters having ultrasound imaging heads, probes used for  
14 nearly incisionless-surgery or diagnosis, and endoscopes.  
15 Where such probes are used for treatment, the probes must be  
16 carefully positioned in relation to the body structure. Even  
17 for imaging systems such as ultrasound systems, some  
18 positioning capability has been described.

19           In cardiovascular examinations and in particular in  
20 those using invasive techniques, multiple catheters are  
21 inserted into the vascular system and then advanced towards  
22 the cardiac chambers. The procedure itself is generally  
23 performed under fluoroscope guidance which necessitates the  
24 use of a continuous source of x-ray as a transillumination  
25 source. The image generated using the fluoroscope is a 2D  
26 display of the anatomy with the location of the catheter  
27 superimposed. The anatomy can be viewed with a relatively  
28 low resolution since the cardiac chamber and the blood  
29 vessels are transparent to the x-ray radiation.

30           More recently, several technologies have been developed  
31 to ease the process of cardiac catheterization, mainly by  
32 enabling the physician to follow the path of the tip of the  
33 catheter inside the blood vessel. Some of this technology  
34 is based on digital subtraction radiography technology that  
35 enables viewing the blood vessel after the injection of a  
36 radio contrast dye and superimposing on that image the path



1 of the catheter. These technologies necessitate the use of  
2 radiopaque dyes which are a major cause of morbidity in  
3 high-risk patients during cardiac catheterization.

4 U.S. Patent No. 5,042,486 to Pfeiller et al., the  
5 disclosure of which is incorporated herein by reference,  
6 describes a method in which the position of a catheter tip  
7 is located using electromagnetic fields. The catheter is  
8 introduced and the tip location is followed. The path of  
9 the tip is superimposed on the pre-registered image of the  
10 blood vessel or the organ, through which the catheter was  
11 advanced. However, this technology requires acquisition and  
12 processing of images prior to the procedure and involves a  
13 highly sophisticated and time-consuming procedure for the  
14 correct alignment of the image acquired previous to this  
15 procedure, and the orientation and location of the blood  
16 vessel or the organ during the catheterization procedure  
17 itself.

18 U.S. Patent 4,821,731 to Martinelli et al., the  
19 disclosure of which is incorporated herein by reference,  
20 discloses a method for internal imaging of a living body  
21 using ultrasound. In this patent the position of an  
22 ultrasound imaging catheter is determined by computing the  
23 relative position of the catheter using the response of an  
24 ultrasound transducer to a reference signal and by computing  
25 the angular orientation of the catheter about its axis by  
26 determining the signal induced in a single coil by  
27 substantially perpendicular magnetic fields of different  
28 frequencies. The ultrasound transducer is also used to send  
29 and detect ultrasound signals in a direction perpendicular  
30 to the catheter axis. By rotating the catheter and moving it  
31 along its axis an ultrasound image may be generated. The  
32 catheter is also described as being capable of transmitting  
33 a laser beam to the end thereof to ablate tissue from  
34 lesions on the walls of arteries.

35 A catheter which can be located in a patient using an  
36 ultrasound transmitter located in the catheter, is disclosed

1 in U.S. Patent No. 4,697,595 and in the technical note  
2 "Ultrasonically Marked Catheter, a Method for Positive  
3 Echographic Catheter Position and Identification", Bryer et  
4 al., Medical and Biological Engineering and Computing, May,  
5 1985, pages 268-271. Also, U.S. Patent No. 5,042,486  
6 discloses a catheter which can be located in patients using  
7 non-ionizing fields and suitably imposing catheter location  
8 on a previously obtained radiological image of the blood  
9 vessel.

10 PCT Patent Publication WO 94/0938, the disclosure of  
11 which is incorporated herein by reference, describes a  
12 system using a single-coil type sensor which is coaxial with  
13 the long axis of a catheter and which senses fields which  
14 are generated by three multicoil generators external to the  
15 body of a patient.

16 Other methods and apparatus for the determination of  
17 the position of a catheter or endoscope are shown in U.S.  
18 Patents 5,253,647; 5,057,095; 4,095,698; 5,318,025;  
19 5,271,400; 5,211,165; 5,265,610; 5,255,680; 5,251,635 and  
20 5,265,611.

21 U.S. Patent No. 3,644,825 describes a system which uses  
22 the relative motion of a sensor in the determination of its  
23 position. The relative motion supplies information to the  
24 sensing coils needed to identify position and orientation.  
25 However, such a solution is not applicable to identifying  
26 position and location of the object where there is no  
27 relative motion between the object and the reference frame.

28 U.S. Patent No. 3,868,565, the disclosure of which is  
29 incorporated herein by reference, comprises a tracking  
30 system for continuously determining the relative position  
31 and orientation of a remote object. This tracking system  
32 includes orthogonally positioned loops for both a plurality  
33 of sensors and a plurality of radiating antennas. With the  
34 proper excitation currents to those loops, the radiating  
35 antennas generate an electromagnetic field that is radiated  
36 from those antennas to the sensor. The tracking system

1 operates as a closed loop system where a controlling means  
2 measures the field that is received at the sensor at the  
3 remote object and feeds the information back to radiating  
4 antennas to provide a nutating field radiating as a pointing  
5 vector towards the remote object. Accordingly, the pointing  
6 vector gives the direction to the sensing antenna from the  
7 radiating antenna.

8 Similarly, Kuipers describes in his U.S. Patent No.  
9 4,017,858, the disclosure of which is incorporated herein by  
10 reference, an electromagnetic field which rotates about a  
11 pointing vector and is used both to track or locate the  
12 remote object in addition to determining the relative  
13 orientation of the object. This system, wherein the  
14 radiating coils are charged with the properly designed wave  
15 forms, generates a magnetic field which, in a closed loop  
16 manner, can be fed into processing means to generate the  
17 information needed to determine an orientation of a remote  
18 object.

19 U.S. Patent No. 4,054,881, the disclosure of which is  
20 incorporated herein by reference, describes a non-tracking  
21 system for determining the position and location of a remote  
22 object with respect to a reference frame. This is  
23 accomplished by applying electrical signals to each of three  
24 mutually-orthogonal, radiating antennas, the electrical  
25 signals being multiplexed with respect to each other and  
26 containing information characterizing the polarity and  
27 magnetic moment of the radiated electromagnetic fields. The  
28 radiated fields are detected and measured by the three  
29 mutually orthogonal receiving antennas having a known  
30 relationship to the remote object, which produce nine  
31 parameters. These nine parameters, in combination with one  
32 known position or orientation parameter, are sufficient to  
33 determine the position and orientation parameters of the  
34 receiving antennas with respect to the position and  
35 orientation of the radiating antennas.

36 U.S. Patent No. 4,849,692, the disclosure of which is

1 incorporated herein by reference, describes a quantitative  
2 method for measuring the relative position and orientation  
3 of two bodies in the presence of metals. Measuring the  
4 position and orientation of receiving antennas with respect  
5 to the transmitting antennas is achieved using direct  
6 current electromagnetic field signals. Electromagnetic  
7 radiation is designed to be transmitted in a sequence by  
8 each of the mutually orthogonal radiating antennas. A  
9 receiving antenna measures the values of transmitted direct  
10 current magnetic fields, one dimension at a time, and those  
11 of the earth's magnetic field as well. This method requires  
12 repetitive acquisition and computations to determine  
13 position and location of remote objects.

14 Other methods which are known in the art for  
15 determining multi-dimensional positioning and orientation  
16 for aircraft and for helmets are described in U.S. Patent  
17 4,849,692, European patent publication 0 576 187 A1, GB  
18 patent publication 2 197 078 A and U.S. Patent 4,314,251 the  
19 disclosures of which are incorporated herein by  
20 reference.

21 The above described prior art which is for use in non-  
22 medical applications, utilizes sensors and other structures  
23 which are not suitable for use in catheters. Those  
24 references which are described as being useful for medical  
25 probes generally give less than six dimensional information  
26 (three position coordinates and three angular coordinates).

27 In previous, as yet unpublished applications assigned  
28 to the assignee of the present application, U.S. Patent  
29 Application Number 08/094,539, filed July 20, 1993 and PCT  
30 Application PCT/US94/08352 filed July 20, 1994, the  
31 disclosures of which are incorporated herein by reference, a  
32 system is disclosed which incorporates a catheter which  
33 includes a position measuring device which can determine the  
34 position of the catheter in three dimensions, but not its  
35 orientation. In these applications, this catheter is used to  
36 map the electrical activity at the inner walls of the heart

1 and to ablate portions of the heart muscle in response to  
2 such mappings. The position of the catheter used for the  
3 mapping/ablation function is determined with reference to  
4 three position detecting devices which are positioned  
5 against the inner wall of the heart at three different  
6 stable locations to form a reference plane.

#### 7 SUMMARY OF THE INVENTION

8 In general the present application discloses a catheter  
9 locating means and method that offers quantitative, high  
10 resolution locating information that, when assimilated with  
11 sensed local information results in a high resolution,  
12 detailed map of the information. This map may optionally be  
13 superimposed on an image or other representation of the  
14 organ architecture.

15 The locating means preferably generates continuous  
16 location and orientation information concerning a remote  
17 object, in particular a catheter, relative to a reference  
18 frame, in a non-iterative manner.

19 One aspect of the present invention relates to the  
20 provision of a new six-dimensional positioning apparatus  
21 suitable for use with a catheter.

22 In a preferred embodiment of this system, a plurality  
23 of non-concentric coils are placed in a catheter adjacent a  
24 locatable site, for example, its distal end. The coils  
25 preferably have orthogonal axis. The relative positioning of  
26 the coils differs from that described in the prior art in  
27 that the coils are separated in space and are not  
28 concentric. These coils generate signals in response to  
29 externally applied magnetic fields which allows for the  
30 computation of six position and orientation dimensions.

31 A second aspect of the present invention is directed  
32 toward a new method for computing multi-dimensional position  
33 and orientation of a coil system from signals produced by  
34 the coils in response to a system of externally applied  
35 electromagnetic fields.

36 A third aspect of the present invention allows for the

1 mapping of the interior of the heart in a manner similar to  
2 that described in the above-referenced patent applications  
3 assigned to the assignee of the present application, with  
4 the simplification that only a single six-dimensional  
5 location/orientation detection sensor is used for reference.

6 A fourth aspect of the present invention involves an  
7 ultrasonic or other imaging probe having a six-dimensional  
8 positioning capability in response to external  
9 electromagnetic fields. Use of such a probe obviates the use  
10 of ionizing radiation or sonic sensing for position  
11 determination and gives ultrasonic or other imaging  
12 information whose direction and orientation is completely  
13 known.

14 A fifth aspect of the invention involves methods and  
15 apparatus for adding a controlled change in orientation to a  
16 catheter, thereby to allow for maneuvering of the cathode  
17 and its easy placement.

18 A sixth aspect of the invention utilizes the controlled  
19 change in orientation to allow for two or three-dimensional  
20 imaging using a non-scanning probe, such as an ultrasound  
21 probe or for three-dimensional scanning using a two-  
22 dimensional scanning probe.

23 There is therefore provided, in accordance with a  
24 preferred embodiment of the invention, a locating system for  
25 determining the location and orientation of an invasive  
26 medical instrument, for example a catheter or endoscope,  
27 relative to a reference frame, comprising:

28 a plurality of field generators which generate known,  
29 distinguishable fields, preferably continuous AC magnetic  
30 fields, in response to drive signals;

31 a plurality of sensors situated in the invasive medical  
32 instrument proximate the distal end thereof which generate  
33 sensor signals in response to said fields; and

34 a signal processor which has an input for a plurality  
35 of signals corresponding to said drive signals and said  
36 sensor signals and which produces the three location

1 coordinates and three orientation coordinates of a point on  
2 the invasive medical instrument.

3 Preferably one or both of the plurality of field  
4 generators or sensors comprises three distinguishable, non-  
5 overlapping, generators or sensors.

6 In a preferred embodiment of the invention, each sensor  
7 comprises a coil. Preferably, said plurality of coils have  
8 axes which intersect within a coil. When said plurality of  
9 coils comprises three coils, said coils preferably have axes  
10 which do not all intersect in a point.

11 Preferably, the signal processor cross-correlates the  
12 signals corresponding to the drive and sensor signals.

13 Preferably, the fields generated by each of the  
14 generators have a different frequency, a different phase, or  
15 both a different frequency and a different phase.

16 In a preferred embodiment of the invention the field  
17 generated by each field generator has a different frequency,  
18 preferably frequencies which are each integer multiples of a  
19 given frequency. Preferably, the duration of the cross-  
20 correlation of the inputs is the minimal common product of  
21 the integer multipliers divided by the given frequency.

22 Preferably, the results of the cross-correlation are  
23 used to calculate the contribution of each field generator  
24 to the signal generated by each said sensor.

25 In a preferred embodiment of the invention the locating  
26 system includes a display system for displaying the position  
27 of the point on the invasive medical instrument.

28 Preferably, the locating system further comprises a  
29 reference instrument which includes a plurality of non-  
30 overlapping sensors situated in the reference instrument  
31 which sensors generate sensor signals in response to said  
32 fields, wherein said display system displays the position of  
33 the point on the invasive medical instrument relative to the  
34 position of a point on the reference instrument. Preferably  
35 the reference instrument is an invasive medical instrument.  
36 Preferably, the sensors are situated proximate the distal



1 end of the reference invasive medical instrument.

2 In a preferred embodiment of the invention the locating  
3 system includes an additional sensor on a portion of the  
4 invasive medical instrument which senses a local condition.

5 Preferably, the additional sensor senses local  
6 electrical signals, for example electrical signals from the  
7 endocardium of the patient's heart, and transfers them to  
8 terminals external to the patient's body.

9 In a preferred embodiment of the invention the signal  
10 processor processes the position and orientation coordinate  
11 signals and the local electrical signals acquired at a  
12 plurality of points on the endocardium to generate a map  
13 that represents the propagation of electrical signals  
14 through tissue in the patient's body.

15 In a preferred embodiment of the invention the  
16 additional sensor supplies electrical energy to the  
17 endocardium for ablating a portion of the endocardium.

18 Preferably the locating system includes an electrode  
19 adapted for supplying electrical energy to the endocardium  
20 for ablating a portion of the endocardium.

21 In a preferred embodiment of the invention the  
22 additional sensor is an ultrasonic transmitter/receiver.

23 Preferably, the ultrasonic transmitter/receiver  
24 provides a less than three dimensional representation of the  
25 acoustic properties of tissue beyond the distal end.

26 In a preferred embodiment of the invention, the distal  
27 end is deflectable. Preferably, the system includes image  
28 reconstruction circuitry which receives a plurality of said  
29 less than three dimensional representations acquired at  
30 different orientations of the distal end and produces a  
31 three dimensional map of the acoustic properties of tissue  
32 at least partially surrounding the distal end.

33 There is further provided, in accordance with a  
34 preferred embodiment of the invention, an imaging system for  
35 intrabody ultrasonic imaging comprising:

36 a invasive medical instrument, preferably, a catheter



1 or endoscope, having an axial-looking ultrasonic imaging  
2 transducer at the distal end thereof which generated a  
3 representation, preferably a one or two dimensional  
4 representation, of the acoustic properties of tissue beyond  
5 the distal end;

6 means for manipulating the distal end to change the  
7 orientation thereof; and

8 image reconstruction circuitry which receives a  
9 plurality of said representations acquired at different  
10 orientations of the distal end, and produces a three  
11 dimensional map of the acoustic properties of tissue at  
12 least partially surrounding the distal end from said  
13 plurality of representations.

14 Preferably, the imaging system further comprises:

15 a plurality of field generators which generate known,  
16 distinguishable fields in response to drive signals;

17 a plurality of sensors situated in the invasive medical  
18 instrument proximate the distal end thereof which generate  
19 sensor signals in response to said fields; and

20 a signal processor which has an input for a plurality  
21 of signals corresponding to said drive signals and said  
22 sensor signals and which produces three location coordinates  
23 and three orientation coordinates of the a point on the  
24 transducer.

25 There is further provided a method of determining the  
26 position and orientation of an invasive medical instrument,  
27 for example a catheter or endoscope, having a distal end,  
28 comprising:

29 (a) generating a plurality, preferably three, of  
30 distinguishable, geometrically different AC magnetic  
31 fields;

32 (b) sensing the AC magnetic fields at the sensors at a  
33 plurality of points proximate the distal end; and

34 (c) computing six dimensions of position and  
35 orientation of a portion of the invasive medical instrument  
36 responsive to signals representative of the generated

1 magnetic fields and the sensed magnetic fields.

2 Preferably, the AC magnetic field is sensed at three  
3 points of the invasive medical instrument.

4 There is further provided, in accordance with a  
5 preferred embodiment of the invention, an ultrasonic intra-  
6 body imaging method comprising:

7 (a) inserting an ultrasonic transducer into the body,  
8 said ultrasonic transducer producing a representation of the  
9 acoustic properties of tissue beyond an end of the  
10 transducer;

11 (b) manipulating the orientation of the transducer to  
12 provide a plurality of said representations; and

13 (c) constructing a three dimensional map of the  
14 acoustic properties of the tissue in a region at least  
15 partially surrounding the end of the transducer from said  
16 plurality of representations.

17 Preferably, the method includes determining the six  
18 dimensions of position and orientation of the transducer for  
19 each of the representations.

20 Preferably, the representation is a less than three  
21 dimensional representation.

22 There is further provided an invasive medical  
23 instrument, for example a catheter or endoscope, comprising  
24 a plurality of magnetic field sensors, preferably coils,  
25 proximate the distal end thereof.

26 Preferably the plurality of coils have axes which  
27 intersect within a coil. Where the plurality is three, the  
28 said coils have axes which do not all intersect in a point.

29 In a preferred embodiment of the invention, the  
30 instrument comprises an ultrasound transducer at said distal  
31 end. Preferably, the ultrasound transducer provides a  
32 representation, preferably a one or two dimensional  
33 representation, of the acoustic properties of tissue beyond  
34 and along the axis of the catheter.

35 In a preferred embodiment of the invention, the  
36 instrument further comprises an electrical probe at said

1 distal end. The probe is preferably adapted to sense  
2 electrical signals generated by tissue which is in contact  
3 and conduct said signals to the proximal end of the catheter  
4 and/or to supply an ablative electrical signal to tissue  
5 contacting said terminal. In a preferred embodiment of the  
6 invention, the instrument includes a sensor for measuring  
7 local chemistry at the distal end.

8 Preferably, the instrument includes means for changing  
9 the orientation of the distal end.

10 There is further provided, in accordance with a  
11 preferred embodiment of the invention, apparatus for  
12 steering the distal end of an invasive medical instrument,  
13 such as a catheter or endoscope, comprising:

14 a relatively more flexible wire passing through the  
15 catheter that is attached to the distal end and has a bend  
16 near the distal end;

17 a relatively more rigid sleeve which is straight near  
18 the distal end and which slideably holds the wire thereat,  
19 whereby when the sleeve is slid over the wire, the wire and  
20 distal end are straightened.

21 Preferably, the instrument has a lengthwise axis and  
22 the wire is sited off the axis of the instrument.

23 There is further provided apparatus for steering the  
24 distal end of an invasive medical instrument comprising:

25 a flat relatively flexible portion being slit along a  
26 portion of the length thereof to form two portions which are  
27 attached at a first end thereof, said first end being  
28 attached to the distal end of the instrument;

29 a pair of wires, one end of each of which being  
30 attached to one of said portions at a second end thereof;  
31 and

32 means for changing the relative lengths of the wires  
33 whereby the flexible element is bent, thereby steering the  
34 distal end of the instrument.

35 There is further provided, in accordance with a  
36 preferred embodiment of the invention, a method of producing

1 a three dimensional image of the internal surface of an  
2 internal body organ comprising:

3 measuring the distance to said surface at a plurality  
4 of orientations from within the internal surface; and

5 assembling the distances to form an image of the  
6 surface.

7 Preferably, the measurement of distances is made from a  
8 plurality of points within the organ. Preferably, the  
9 measurement of distances is preformed utilizing an  
10 ultrasonic transducer.

#### 11 BRIEF DESCRIPTION OF THE DRAWINGS

12 Fig. 1 is a pictorial representation of the application  
13 of a system for six-dimensional position and bearing  
14 determination, in accordance with a preferred embodiment of  
15 the invention to a catheter located in a human body;

16 Fig. 2 is a schematic, cut-away illustration of a  
17 generalized catheter having a six-dimensional location  
18 capability in accordance with a preferred embodiment of the  
19 present invention;

20 Fig. 3 is a more graphic illustration of a portion of  
21 the probe showing a preferred embodiment of a sensor for  
22 six-dimensional location;

23 Fig. 4 is a block diagram of circuitry used to  
24 determine the six-dimensional coordinates of a catheter, in  
25 accordance with a preferred embodiment of the invention;

26 Fig. 5 shows in expanded detail the basic flow chart  
27 representing a control sequence and its application to the  
28 block diagram of Fig. 4, in accordance with a preferred  
29 embodiment of the invention;

30 Fig. 6 is a block diagram representing digital signal  
31 processing in the signal processor in accordance with a  
32 preferred embodiment of the invention;

33 Fig. 7 is a three-dimensional graphic representation of  
34 the vectors forming the magnetic field at a point;

35 Fig. 8 is a block diagram representing analog signal  
36 processing in the signal processor, in accordance with a

1 preferred embodiment of the invention;

2 Fig. 9 is a simplified schematic of an analog filter  
3 element shown in Fig. 8, in accordance with a preferred  
4 embodiment of the invention;

5 Figs. 10A-10D illustrate a principle of orienting the  
6 tip of a catheter in accordance with a first preferred  
7 embodiment of the invention;

8 Fig. 11 illustrates a principle of orienting the tip of  
9 a catheter in accordance with a second preferred embodiment  
10 of the invention;

11 Fig. 12 is a block diagram of ultrasonic acquisition  
12 and signal processing circuitry in accordance with a  
13 preferred embodiment of the invention;

14 Fig. 13 is a block diagram of image reconstruction  
15 circuitry in accordance with a preferred embodiment of the  
16 invention;

17 Fig. 14 is a partially schematic, partially cut-away  
18 illustration of a probe for electrical sensing, pacing and  
19 ablation in accordance with a preferred embodiment of the  
20 invention;

21 Fig. 15 is a schematic block diagram for acquiring a  
22 basic electrogram map in accordance with a preferred  
23 embodiment of the present invention;

24 Fig. 16 is a schematic block diagram representing a  
25 computerized endocardial mapping algorithm, in accordance  
26 with a preferred embodiment of the invention;

27 Fig. 17 is a schematic block diagram representing a  
28 computerized pace mapping algorithm, in accordance with a  
29 preferred embodiment of the invention;

30 Fig. 18 is a schematic block diagram of an algorithm  
31 used to calculate the cross-correlation index while pace-  
32 mapping, in accordance with a preferred embodiment of the  
33 invention; and

34 Fig. 19 is a schematic block diagram representing an  
35 output configuration of an imaging system in accordance with  
36 a preferred embodiment of the invention.

## 1 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

2 Figure 1 shows a pictorial representation of a basic  
3 preferred application of the invention to the human body. In  
4 this application, a catheter 10 is inserted into an artery  
5 11 of a patient using standard techniques. Catheter 10  
6 comprises a body 12, a locating sensor 14 and an active  
7 portion 16 at the distal end 15 of the catheter. The active  
8 portion 16, in accordance with various preferred embodiments  
9 of the invention, may include an electrical sensor, an  
10 ultrasound head, a fiber optic viewing head, an electrical  
11 stimulator, an electrical or laser ablator, an ionic sensor,  
12 an oxygen or carbon dioxide sensor, an accelerometer, a  
13 blood pressure or temperature sensor or a cryogenic probe.  
14 In general the catheter will include leads, light guides,  
15 wave guides, etc. for energizing the active portion in  
16 response to commands of an operator.

17 The position and orientation of the distal end of the  
18 catheter is ascertained by determining the position of the  
19 locating sensor. In a preferred embodiment of the invention,  
20 the locating sensor comprises two or three antennas, for  
21 example coils which are irradiated by two or three radiators  
22 18, 20 and 22, which are outside the body surface 23 of the  
23 patient.

24 It should be understood that placement of the  
25 radiators, as well as their size and shape, will vary  
26 according to the application of the invention. Preferably  
27 the radiators useful in a medical application comprise wound  
28 annular coils from about 2 to 20 cm in diameter (O.D.) and  
29 from about 0.5 to 2 cm thick, in a coplanar, triangular  
30 arrangement where the centers of the coils are from about 2  
31 to 30 cm apart. Bar-shaped radiators or even triangular or  
32 square-shaped coils could also be useful for such medical  
33 applications. Moreover, in instances where a prone patient  
34 will be the subject of a procedure involving the instant  
35 technology, the radiators are preferably positioned in or  
36 below the surface upon which the patient is resting,

1 substantially directly below the portion of the patient's  
2 body where a procedure is being performed. In other  
3 applications, the radiators may be fairly close to the skin  
4 of the patient.

5 The three radiators are driven by a radiator driver  
6 24, preferably in a manner described below, and the signals  
7 received by the receiving antennas are amplified and  
8 processed, together with a representation of the signals  
9 used to drive radiators 18, 20 and 22, preferably in the  
10 manner described below, in a signal processor 26 to provide  
11 a display or other indication of the position and  
12 orientation of the distal end 15 on a monitor 27.

13 Radiators 18, 20 and 22 may be arranged in any  
14 convenient position and orientation, so long as they are  
15 fixed in respect to some reference frame, and so long as the  
16 radiators are non-overlapping, that is, there are no two  
17 radiators with the exact, identical location and  
18 orientation. When driven by radiator driver 24, the  
19 radiators generate a multiplicity of distinguishable AC  
20 magnetic fields that form the magnetic field sensed by  
21 receiving antennas in the locating sensor.

22 The magnetic fields are distinguishable with regard to  
23 the frequency, phase, or both frequency and phase of the  
24 signals in the respective magnetic fields. Time multiplexing  
25 is also possible.

26 In practice the active end of the catheter may be used  
27 to gather information, such as ultrasound echo information,  
28 electrical activity information etc., and optionally to  
29 perform certain procedures on the arteries (or veins) or  
30 within an organ chamber 28 to which the artery (or vein)  
31 leads. Particular examples of organ chambers are the  
32 chambers of the heart, brain or gastrointestinal tract. It  
33 is a particular object of some aspects of the present  
34 invention to more accurately map the electrical activity of  
35 the heart and to more accurately image the walls of the  
36 heart, as will be described in more detail below.

1        Fig. 2 shows a schematic illustration of a preferred  
2        embodiment of the distal end of catheter 10. A graphic  
3        illustration of locating sensor 14 is shown in Fig. 3.  
4        Sensor 14 preferably includes two or more and more  
5        preferably three sensor coils 30, 32 and 34 wound on air  
6        cores. In a preferred embodiment of the invention the coils  
7        have mutually orthogonal axes, one of which is conveniently  
8        aligned with the long axis of the catheter. Unlike prior art  
9        location sensors (used for other applications) which contain  
10       three coils that are concentrically located, or at least  
11       whose axes intercept, the coils of the preferred embodiment  
12       of the invention are closely spaced along the axis of the  
13       catheter to reduce the diameter of the locating sensor and  
14       thus make the sensor suitable for incorporation into a  
15       catheter.

16       For most aspects of the present invention, quantitative  
17       measurement of the position and orientation of the catheter  
18       distal end relative to a reference frame is necessary. This  
19       requires at least two non-overlapping radiators that  
20       generate at least two distinguishable AC magnetic fields,  
21       the radiators' respective positions and orientations  
22       relative to the reference frame being known; a radiator  
23       driver which preferably continuously supplies the radiators  
24       with AC signals to generate the AC magnetic fields; and a  
25       location sensor, consisting of at least two non-parallel  
26       sensors to measure the magnetic field flux resulting from  
27       the at least two distinguishable magnetic fields. The  
28       number of radiators times the number of sensors is equal to  
29       or greater than the number of degrees of freedom of the  
30       desired quantitative measurement of the position and  
31       orientation of the sensors relative to the reference frame.

32       Since, in a preferred embodiment of the invention it is  
33       preferred to determine the six position and orientation  
34       coordinates of the distal tip of the catheter, at least two  
35       coils are required in location sensor 14. Preferably three  
36       coils are used to improve the accuracy and reliability of



1 the position measurement. In some applications where fewer  
2 dimensions are required, only a single coil may be necessary  
3 in locating sensor 14.

4 Leads 36 are used to carry signals detected by the  
5 sensor coils to signal processor, via the proximal end of  
6 the catheter, for processing to generate the required  
7 position information. Preferably, leads 36 are twisted pairs  
8 to reduce pick-up and may be further electrically shielded.

9 In a preferred embodiment of the invention, coils 30,  
10 32 and 34 have an inner diameter of 0.5 mm and have 800  
11 turns of 16 micrometer diameter to give an overall coil  
12 diameter of 1-1.2 mm. The effective capture area of the coil  
13 is preferably about 400 mm<sup>2</sup>. It will be understood that  
14 these dimensions may vary over a considerable range and are  
15 only representative of a preferred range of dimensions. In  
16 particular, the size of the coils could be as small as 0.3  
17 mm (with some loss of sensitivity) and as large as 2 or  
18 more mm. The wire size can range from 10-31 micrometers and  
19 the number of turns between 300 and 2600, depending on the  
20 maximum allowable size and the wire diameter. The effective  
21 capture area should be made as large as feasible, consistent  
22 with the overall size requirements. While the preferred  
23 sensor coil shape is cylindrical, other shapes can also be  
24 used. For example a barrel shaped coil can have more turns  
25 than a cylindrical shaped coil for the same diameter of  
26 catheter. Also, square or other shaped coils may be useful  
27 depending on the geometry of the catheter.

28 Leads 38 are used to power active portion 16 and/or to  
29 receive signals therefrom. The nature of leads 38, which may  
30 vary and may, for example, include an optical waveguide or  
31 other transmission media as appropriate to their task.

32 For example, an electrode located on the distal tip of  
33 the catheter records local cardiac electrical activity, for  
34 example, on the endocardium. These local electrograms  
35 (ECG's) are transferred via leads 38 to the proximal end of  
36 the catheter and fed into an ECG amplifier. The amplified

1 ECG signals are transferred to the control system that  
 2 presents to the physician the local electrogram morphology  
 3 acquired from the site whose location was determined at the  
 4 same time.

5 Figure 4 is a block diagram of preferred circuitry used  
 6 in computing the position of locating sensor 14. In this  
 7 exemplary embodiment, three radiators 18, 20 and 22 and  
 8 three sensor coils 30, 32 and 34 are used. Radiator driver  
 9 24 provides distinguishable, simultaneous AC current signals  
 10 to each radiator. Control circuitry 40 utilizes D/A  
 11 convertors 42, 44 and 46 to generate three sine waves of  
 12 three different frequencies,  $f_1$ ,  $f_2$  and  $f_3$ , which are output  
 13 separately to signal amplifiers 48, 50 and 52.

14 In order to achieve a fast response locating system the  
 15 use of slow responding filters has been eliminated by using  
 16 cross-correlation of the radiated and the received signals.  
 17 This cross-correlation is performed over a window in time  
 18 which contains an integer number of the cycle lengths of the  
 19 three radiated signals. Use of an integer number of cycles  
 20 generally results in a decrease in processing errors and a  
 21 more accurate determination of the relative amplitude and  
 22 phase of the signals received by the sensor coils. If non-  
 23 integral cycle lengths are used an error in the cross-  
 24 correlation generally results, unless a very long  
 25 correlation window is used.

26 If a short correlation window is used, (the shortest is  
 27 the minimal common product of the cycle times), the ratio  
 28 between frequencies should be a rational number. The  
 29 frequency of a radiator  $c$ ,  $f_c$ , where  $c = 1, 2$  or  $3$  should  
 30 satisfy the equation:

$$31 \quad f_c = n_c \cdot f_b \quad (1)$$

32 where  $n_c$  is any positive integer such that  $n_1 \neq n_2$ ,  $n_2 \neq n_3$ ,  
 33 and  $n_3 \neq n_1$ , and  $f_b$  is an arbitrary base frequency to assure  
 34 that integral cycle lengths can be used for cross-  
 35 correlation.

36 The radiating driver amplifier output signals are

1 delivered to the radiators through current sensitive  
2 circuitry 54, 56 and 58, such as a resistor, loop or more  
3 sophisticated circuitry as is known in the art. The current-  
4 sensitive circuitry produces an output which represents the  
5 amplitude and phase of the driving signal for the radiators  
6 and which is passed to signal processor 26. With this  
7 arrangement, the three radiators will generate a magnetic  
8 field composed of three differently oriented field  
9 components each having a different known frequency. Each of  
10 these field components will be sensed by each of sensor  
11 coils 30, 32 and 34 which will each produce a signal  
12 composed of three frequency components having different  
13 amplitudes and phases depending on the relative distance and  
14 orientation of the particular sensor coil and particular  
15 radiator which radiates a particular frequency.

16 The outputs signals of sensors 30, 32 and 34 are  
17 amplified in amplifiers 60, 62 and 64 respectively and  
18 passed on to signal processor 26.

19 Fig. 5 shows in expanded detail the basic flow chart  
20 representing a control sequence and its application to the  
21 circuitry of Fig. 4. During the initialization phase,  
22 indicated by block 66, the frequencies of the three sine  
23 waves, the physical position and orientation of radiators  
24 18, 20 and 22 in respect to a reference frame, the  
25 properties of the radiators and sensors and the coordinates  
26 of a single point in the mapping field are defined. Sine  
27 waves having respective frequencies  $f_1$ ,  $f_2$  and  $f_3$  are  
28 synthesized as indicated by block 68, for example in control  
29 40. These generated frequencies are transmitted, preferably  
30 continuously, by radiators 18, 20 and 22 as indicated by  
31 block 70 and as described above with reference to Fig. 4.  
32 The control sequence enters a timing loop 72 that  
33 periodically sends signals to activate the signal processor  
34 to cross-correlate the coil sensor signals with the radiated  
35 signals and to calculate the orientation and position of  
36 locating sensor 14 relative to the reference frame.

1 Both analog and digital embodiments of signal  
2 processing are possible in accordance with preferred  
3 embodiments of the invention. These different approaches can  
4 be modified in a variety of ways by those skilled in the  
5 art, and can be combined in different modes in order to  
6 practice them simultaneously. Some applications of the  
7 present invention would benefit from the digital approach,  
8 while the analog approach may be the preferable solution in  
9 other cases.

10 The digital embodiment is described in conjunction with  
11 Fig. 6, which is a functional block diagram of signal  
12 processor 26. The inputs to the processing block are the  
13 signals from amplifiers 60, 62 and 64 (the sensor coil  
14 signals) denoted by SIG and inputs from current sensing  
15 circuits 52, 56 and 58 denoted as CUR. In this embodiment  
16 the six input signals are converted from analog to digital  
17 signals by an array of A/D converters 74. The sampled  
18 digital signals are passed to the "calculate cross  
19 correlation" block 76, which may consist of dedicated  
20 circuitry or which may be performed by a dedicated or shared  
21 microprocessor. Using the six data streams (three AC  
22 currents flowing through the radiators and three sensor  
23 readings) the cross correlation elements can be calculated  
24 using the following method:

25

26 Given that

27  $SIG_s$  is the amplified output of sensor  $s$ , where  $s = 1,$   
28  $2$  or  $3$ ;

29  $CUR_c$  is the current flowing through radiator  $c$ , where  
30  $c = 1, 2$  or  $3$ ;

31  $f_b$  is an arbitrary base frequency;

32  $f_0$  is the sampling frequency which is an integral  
33 multiple of  $f_b$ ; and

34 and  $N$  is the correlation length in number of samples,

35  $N = K(f_0/f_b)$ , where  $K$  is any positive integer,

36 the correlation between  $CUR_c$  and the sine wave of frequency

1  $f_C$  is:

2

$$3 \quad A_C^I = (2/N) \cdot \sum \text{CUR}_C[i] \cdot \sin(2\pi f_C(i/f_0)); \quad (3)$$

4

5 and the correlation between  $\text{CUR}_C$  and the cosine wave of  
6 frequency  $f_C$  is:

7

8

9

$$10 \quad A_C^O = (2/N) \cdot \sum \text{CUR}_C[i] \cdot \cos(2\pi f_C(i/f_0)); \quad (2)$$

11

12 where both summations are taken over  $i$  from 1 to  $N$ .

13 The correlation between  $\text{SIG}_S$  and the sine wave of frequency  
14  $f_C$  is

15

$$16 \quad B_{S,C}^I = (2/N) \cdot \sum \text{SIG}_S[i] \cdot \sin(2\pi f_C(i/f_0)); \quad (4)$$

17

18 and the correlation between  $\text{SIG}_S$  and the cosine wave of  
19 frequency  $f_C$  is

20

$$21 \quad B_{S,C}^O = (2/N) \cdot \sum \text{SIG}_S[i] \cdot \cos(2\pi f_C(i/f_0)); \quad (5)$$

22

23 where both summations are taken over  $i$  from 1 to  $N$ .

24 A preferred ratio of  $f_1$ ,  $f_2$  and  $F_3$  is 1, 2, 3 and  
25 preferred frequencies are 1, 2 and 3 kHz. The useful  
26 frequency range is believed to lie between 50 Hz and 50 kHz.

27 The calculation of the fields and currents, designated  
28 by block 78, can also be performed using either dedicated  
29 circuitry or a dedicated or shared microprocessor. The  
30 amplitude of the current through each radiator  $A_C$  can be  
31 calculated using:

32

$$33 \quad A_C = |A_C^I + jA_C^O| \quad (6)$$

34

35 and the magnitude of the field generated by each radiator,  
36  $|B_{S,C}|$ , can be calculated using:

$$|B_{s,c}| = |B_{s,c}^I + jB_{s,c}^O| \quad (7)$$

The phase between the current in radiator c and the field sensed by sensor s,  $\psi_{s,c}$ , is

$$\varphi_{s,c} = \arg(B_{s,c}^I + jB_{s,c}^O) - \arg(A_c^I + jA_c^O) - \psi_s^0 \quad (8)$$

where  $\psi_s^0$  is the phase delay between the radiated field and the field as read by sensors s. The amplitude of the field generated by radiator c as sensed by sensor s is:

$$B_{s,c} = |B_{s,c}|, \quad \text{if } |\varphi_{s,c}| < 90^\circ \quad (9A)$$

$$B_{s,c} = -|B_{s,c}|, \quad \text{if } |\varphi_{s,c}| \geq 90^\circ \quad (9b)$$

The magnetic field for every possible location and orientation of the sensor in the mappable space can be obtained by using:

1) The field equations of the radiators used in a specific embodiment,

2) The exact position and orientation of the radiators, and

3) The current flowing through the radiators  $A_c$ .

Preferably the contributions of each field generator are used to solve a set of field equations, which are dependent upon the field form. Solving these equation sets produces the location and orientation of the remote sensors, most preferably simultaneously.

More particularly, the field equations are derived specifically for each embodiment and are dependent on the geometry and characteristics of the radiators. In the preferred embodiment of the invention where the radiators are coils, the field equations can be described as follows:

For a coil with N turns a radius R and a current I, the radial field component at a distance r is

$$B_r(I, \vec{r}, \cos\theta) = (2\pi R^2 10^{-7} \cdot NI / r^3).$$

$$\sum (2i+1) P_{2i}(0) \cdot (R/r)^{2i} \cdot P_{2i+1}(\cos\theta) \quad (10)$$

2

3 and the tangential field component is:

4

$$B_{\theta}(I, \vec{r}, \cos\theta) = (2\pi R^2 10^{-7} \cdot NI/r^3) \sum P_{2i+2}(0) (R/r)^{2i} P_{2i+1}^1 \cos\theta$$

6

7 where the sums are from  $l=0$  to  $i=\infty$  and where  $P_n(x)$  is a  
8 Legendre Polynomial of degree  $n$ , and calculated recursively  
9 by:

$$P_0(x) = 1$$

$$P_1(x) = x \quad (12)$$

$$P_n(x) = 1/n [(2n-1)x P_{n-1}(x) - (n-1)P_{n-2}(x)]$$

13

14  $P_n^1(x)$  is a generalized Legendre Polynomial of degree  $n$ ,  
15 and calculated by:

16

$$P_n^1(x) = -(n+1) \cdot x \cdot (P_n(x) - P_{n-1}(x)) / (1-x^2)^{1/2} \text{ for } |x| < 1$$

$$= 0 \quad \text{for } |x| = 1 \quad (13)$$

19

20 These field equations are correct for  $r > R$  for a  
21 radiator located in location  $\vec{P}$ . The field induced at  
22 location  $\vec{K}$  is, as shown in Fig. 7, given by:

23

$$\vec{B} = B_u \hat{O} + B_w \hat{W}$$

$$B_w = B_r \sin\theta + B_{\theta} \cos\theta \quad (14)$$

$$B_u = B_r \cos\theta - B_{\theta} \sin\theta$$

27

28 where  $\hat{O}$  is a unit vector in the radial direction of the  
29 radiator located at  $\vec{P}$  and  $\hat{W}$  is a unit vector in the  
30 tangential direction of the radiator located at  $\vec{P}$ . Using  
31 this general field equation one can calculate the field at  
32 point  $\vec{K}$  generated by each of the radiators.

33 The remote sensor orientation, denoted by  $\hat{V}$  determines  
34 the field sensed by this sensor at this location ( $\vec{K}$ ).

35

$$\vec{B} \cdot \hat{V} = B_{\hat{V}} \quad (15)$$

36

1 Therefore the field sensed by a remote sensor is

2

$$3 \quad B_{\hat{V}} = B(\hat{P}, \hat{O}, I, R, \hat{V}) \quad (16)$$

4 where  $R$  and  $\hat{V}$  are the unknown variables, and  $\hat{O}$ ,  $\hat{P}$  and  $I$  are  
5 the known variables for any given coil.

6 In the example embodiment there are three radiators;  
7 therefore there will be three known values of  $\hat{P}$  and three  
8 known values of  $\hat{O}$ . The three sensors have a fixed and known  
9 location and orientation in the remote object reference  
10 frame. For each position and orientation of the remote  
11 object, one can compute the location and orientation of each  
12 sensor in the radiator reference frame and therefore compute  
13 the field sensed,  $B_{\hat{V}}$ , for each radiator and each sensor. In  
14 the case of the present location system, each field sensed  
15 by each sensor from every radiator is measured and the field  
16 equations are solved to obtain the location and orientation  
17 of the remote object ( $x, y, z, \epsilon, \xi, \text{ and } \zeta$ ).

18 The results of this approach for the three radiator,  
19 three sensor system used here as an example, are nine non-  
20 linear algebraic equations with six variables (namely,  $x, y,$   
21  $z$  of the sensing means position and  $\epsilon, \xi, \text{ and } \zeta$  for the  
22 location sensor orientation) in the form of:

23

$$24 \quad ([F_{S,C}(x,y,z,\epsilon,\xi,\zeta) = B_{SC}]_{S=1,2,3})_{C=1,2,3} \quad (17)$$

25

26 In this embodiment of the invention, the nine sensor  
27 readings ( $B_{S,C}$ ) are the measured quantity, and by solving  
28 this overdetermined system of equations (using a variety of  
29 known numerical methods such as the Newton-Raphson method  
30 for non-linear systems of equations or Multidimensional  
31 Secant Methods, specifically Broyden's method), the location  
32 and orientation of location sensor 14 is determined. A  
33 description of several possible numerical methods for  
34 solving such a set of equations is found in William H. Press  
35 et al, "Numerical Recipes in C. The Art of Scientific  
36 Computing", second edition, Cambridge University Press,



1 1992. The location sensor position and orientation are  
2 displayed on monitor 27.

3 An ECG monitor may be used to synchronize the  
4 acquisition of the signals from the sensor coils so as to  
5 remove cardiac motion artifacts from the position  
6 information. Furthermore, a reference sensor may be attached  
7 to a portion of an organ being tested or treated, such as  
8 the heart, which will be used to correct for breathing  
9 motion or patient movement. In this way, the acquired sensor  
10 positions may be referenced to the organ structure and not  
11 to an absolute outside reference frame, which is less  
12 significant.

13 In an analog based embodiment of signal processor 26,  
14 some of the parameters are calculated using analog  
15 circuitry. Fig. 8 is a schematic of one analog based  
16 embodiment of signal processor 26. In this embodiment,  
17 three sine and three cosine wave signals of frequency  $f_1$ ,  
18  $f_2$ , and  $f_3$ , are used in addition to the SIG and CUR signals  
19 used in the embodiment of Fig. 6. The SIG and CUR signals  
20 are filtered by 12 phase sensitive filters (correlators) 80,  
21 such as are shown in Fig. 9 to produce signals indicative of  
22 the sine and cosine components of the SIG and CUR signals.

23 These analog signals are then passed to a set of A/D  
24 converters 82. The fields and currents and positions are  
25 calculated in the same manner as described above with  
26 respect to Fig. 6.

27 Fig. 9 shows the expanded view of one possible  
28 embodiment of one of the analog filter elements of Fig. 8.  
29 Each analog filter unit has three inputs; a cosine wave  
30  $\cos(2\pi f_c)$ , a sine wave  $\sin(2\pi f_c)$ , and the signal, either one  
31 of  $SIG_s$  or  $CUR_s$  from which the frequency component  $f_c$  is to  
32 be extracted. Within the analog filter unit the signal is  
33 multiplied by  $\sin(2\pi f_c)$  and  $\cos(2\pi f_c)$  in multipliers 84 and  
34 86. The results are passed through low pass filters 88 and  
35 90 to obtain the desired components of the signal.

36 The description above primarily concerns acquiring

1 information by a set of two or more sensors that is used to  
2 determine the position and orientation of a remote object or  
3 a point on a remote object such as a medical device or  
4 instrument. It is also within the scope of the invention  
5 that a remote object will have more than one set of sensors,  
6 preferably from 2 to 6 sets of sensors, that will provide  
7 sufficient parameters to determine the shape and/or  
8 configuration of a remote object, preferably relative to a  
9 reference frame. For example, if the catheter has  
10 additional sets of sensors located proximal to its distal  
11 tip, it would be possible to determine the shape and/or  
12 configuration of portions of the catheter. Similarly, for  
13 another invasive procedure such as a sigmoidoscopy or  
14 colonoscopy, it may be possible to determine the shape  
15 and/or configuration of some or all of the scope used.

16 The equipment necessary to practice the invention is  
17 mostly conventional. In one embodiment of the invention,  
18 the controller is a simple off-the-shelf 486 IBM compatible  
19 computer. The A/D boards are commercially available and  
20 have the characteristic of being able to sample at least 8  
21 channels with a sampling frequency of between 500 - 40,000  
22 samples per second on each channel. An example of such an  
23 A/D Board is the National Instruments AT-MIO-16X that is  
24 available from National Instruments, Texas, USA. The D/A  
25 function is achieved using commercially available 8-21 bit  
26 resolution D/A boards. Examples of such a D/A are the  
27 National Instruments A/D, D/A Board AT-MIO-16X or National  
28 Instruments DSP model AT-DS2200. The radiation driver  
29 amplifiers are commercially available, with 2-16 ohms  
30 output impedance and an output power of 60-500 watts. An  
31 example of such amplifiers is the Inkel amplifier type NA-  
32 420, from Inkel of Seoul, Korea. The radiators are also  
33 commercially available and have the following  
34 characteristics: 1-6 cm radius, 0.5-3 cm thickness, and  
35 100-500 turns made of copper wire of diameter 0.1 -0.95 mm.  
36 A specific example of such a coil could be coils having a 4

1 cm radius, 1 cm thickness with 151 turns of copper wire of  
2 0.41 mm diameter.

3 While the sensor described above is preferred, other  
4 sensors may be suitable for some applications, such as Hall  
5 effect sensors, for example those available from Allegro  
6 Micro Systems, Inc., USA or magneto-resistor sensors,  
7 sensors, flux gate magnetic sensors, and/or other magnetic  
8 flux sensors.

9 Controller 40 represents an assemblage of units to  
10 perform intended functions. For example, such units may  
11 receive information or signals, process information,  
12 function as a controller, display information, and/or  
13 generate information or signals. Typically controller 40  
14 may comprise one or more microprocessors.

15 In accordance with a preferred embodiment of the  
16 invention, active portion 16 of catheter 10 is a forward  
17 looking ultrasound send/receive transducer. Such a  
18 transducer can give a one-dimensional map of the acoustic  
19 properties of the material lying in front of it by radiating  
20 a focused beam of pulsed acoustic energy and then measuring  
21 the echoes of the beam reflected by changes in acoustic  
22 properties along the path of the beam. In order to provide a  
23 three dimensional image it is necessary to change the  
24 direction of the beam, preferably without changing its  
25 position by a great amount.

26 In particular, such a steerable, one dimensional  
27 acoustic transducer can be used to map the heart walls or  
28 blood vessels, ultrasonically, from inside the heart. When  
29 coupled with a reference location sensor at a reference  
30 point on the heart and ECG gating of the acoustic pulses,  
31 such a transducer can generate the information required to  
32 form a three dimensional image of the heart or blood vessels  
33 or any other organ, at one or several different phases of  
34 the heart cycle.

35 The principle of two preferred embodiments of a  
36 steering mechanism are shown in Figs. 10A-10D and 11

1 respectively. Fig. 10A shows a steering mechanism 92 that  
2 fits into the distal end of a catheter and comprises two  
3 steering wires 94 attached to a steering head 96. Head 96 is  
4 formed of a relatively flexible material such as stainless  
5 steel and is slit along its axis, each side of the split  
6 being attached to one of wires 94. Such a head may be  
7 manufactured by attaching two wires (94) at their end and  
8 then flattening the wires to form a more easily bent  
9 structure.

10 Attached to the distal end of the steering head is a  
11 relatively rigid housing containing locating sensor 14 and  
12 active portion 16 which, in the present preferred  
13 embodiment, is an ultrasonic send/receive transducer. At  
14 least head 96 and wires 94 are encased in a catheter sheath  
15 104 which is not shown in Figs. 10A-10C for clarity of  
16 presentation. This steering mechanism can also be used for  
17 other active portion types such as for electropysiologic  
18 mapping procedures and for improved steering of catheters or  
19 many types, with or without location sensing.

20 In Fig. 10B one of wires 94 has been shortened as  
21 compared with the other wire. Since the catheter sheath  
22 holds the wires together, the result of such shortening of  
23 one wire is bending of the head, which is facilitated by the  
24 axial slit. Locating sensor 14 and active portion 16 are  
25 rigidly attached so that measurement of position and  
26 orientation of the locating sensor will give the position  
27 and orientation of the active portion (ultrasound  
28 transducer). By varying the angle of bending and rotating  
29 the catheter, imaging over nearly 360° image can be  
30 achieved. Additionally or alternatively, as shown in Fig.  
31 10C, the amount of rotation can be reduced by shortening the  
32 other wire and which causes bending in the other direction.  
33 Slight motion of the transducer can be corrected by a simple  
34 translation of the acquired one dimensional image associated  
35 with the particular position.

36 Fig. 10D shows a mechanism 98 placed at the proximal

1 end of the catheter for changing the relative lengths of  
2 wires 94. A handle 100 comprises a housing 102 to which  
3 catheter sheath 104 is attached. The proximal end of wires  
4 94 are formed in a loop (for example by welding the ends of  
5 the wire) and wrapped around a spindle 106 which is  
6 preferably fixed and which forms a frictional contact with  
7 the wires.

8 A lever 108 is rotatably attached near its center at a  
9 pin 110 to the housing and is attached at one end to wire 94  
10 and at the other end to a slider 112 which is slidable  
11 parallel to the housing. When the slider is moved, one of  
12 the wires 94 at the distal end is lengthened with respect to  
13 the other.

14 Fig. 11 shows the distal end of a catheter having an  
15 alternative steering mechanism. A relative rigid sleeve 114  
16 is placed within cathode sheath 104. Sleeve 114 can be  
17 axially displaced relative to the sheath from the proximal  
18 end of the catheter.

19 The distal end of sleeve 104 is formed with a disk 116  
20 through which a relatively less rigid wire 118 passes. Wire  
21 118 is formed with a permanent bend near its distal end at  
22 which end, position sensor 14 and active portion 16 are  
23 attached. Axial movement of sleeve 104 straightens wire 118  
24 resulting in a change in orientation of both the position  
25 sensor and the active portion. If wire 118 is sited off  
26 axis, then rotating the wire will rotate the catheter.

27 It should be understood that steering of acoustic beams  
28 may also be achieved by a moving mirror or by a phased array  
29 ultrasonic transducer, and that such a mirror or other  
30 arrangement may be present in the active portion. Such  
31 active scanning may supplement or replace the passive  
32 steering provided by the mechanisms of Figs. 10 and 11.

33 Fig. 12 shows a simplified system block diagram of  
34 ultrasonic acquisition and image formation in accordance  
35 with a preferred embodiment of the invention. An image  
36 sensor 120, such as the ultrasound sensor described above,

1 transmits an acoustic pulse 122 in response to a signal  
2 received from a transmitter driver circuit 124. An acoustic  
3 echo 126 (generally comprising several echoes) is received  
4 by the image sensor which produces an echo signal, which  
5 when amplified, is sent to a receiver processing circuit 128  
6 which generates a one dimensional "image" at its output 130.  
7 Information identifying the heart phase of the image may  
8 also be present at output 130 which may comprise a plurality  
9 of output ports. In one embodiment of the invention,  
10 especially useful for heart imaging, the acquisition of the  
11 image is made in response to signals received from an ECG  
12 monitor 132. This allows for acquisition of images at a  
13 particular portion of the heart cycle so that the various  
14 one-dimensional images can be easily reconstructed into a  
15 three dimensional image.

16 In particular, if the most significant echo is used as  
17 the measure of the distance from the ultrasonic sensor to  
18 the chamber along the measurement direction of the sensor,  
19 then the collection of such distances (referenced to a  
20 reference point in the chamber) will allow the  
21 reconstruction of the surface morphology.

22 Fig. 13 shows a simplified block diagram of a three  
23 dimensional image reconstruction system which utilizes a  
24 series of one dimensional images generated by the circuitry  
25 of Fig. 12 and continuous sensed location and orientation  
26 information generated by the position locator and its  
27 associated circuitry as described above. In general it is  
28 useful to acquire the sensed location and orientation to  
29 coincide with the acquisition of each one-dimensional image.  
30 One of the various methods described above for steering the  
31 distal tip of the catheter is used to acquire a plurality of  
32 one dimensional images with a plurality of orientations. An  
33 automatic mechanism may be used to continuously change the  
34 orientation of the imaging head in accordance with the  
35 principles of Figs. 10 and 11 and to rotate the catheter so  
36 that operator intervention is not required.

1 An image reconstruction processor 132 orients and  
2 references the individual one dimensional images in  
3 accordance with the sensed location and orientation  
4 information and forms a 3-D image which can be presented on  
5 an image display 13 either in the form of a series of two  
6 dimensional slices or a full three dimensional  
7 reconstruction. When images at different points in the heart  
8 cycle are acquired, the image displayed may be a cine image  
9 of the reconstruction.

10 In a preferred embodiment of the invention a two  
11 dimensional image is acquired by the ultrasound sensor which  
12 can be a phased array of acoustic crystals of a single  
13 crystal in conjunction with a mirror rotating about an axis  
14 that deflects the ultrasonic beam in a predetermined path.

15 In a preferred embodiment of the invention active  
16 portion 16 comprises a sensor for sensing electrical signals  
17 generated at selectable positions on the heart. As described  
18 below, such sensings of electrical signals can be used to  
19 map the electrical activity of the heart. The active portion  
20 may also include an electrode useful for pacing the heart  
21 and/or for ablating a portion of the heart. Such ablation is  
22 especially useful in the treatment of the most common lethal  
23 cardiac arrhythmia, ventricular tachycardia (VT), i.e., very  
24 rapid and ineffectual contractions of the heart muscle. VT  
25 is the cause of death of approximately 300,000 people  
26 annually. It is also useful in the treatment of other  
27 arrhythmias.

28 A catheter useful for electrical mapping of the  
29 heart/ablation is shown schematically in Fig. 14.

30 Active portion 16 comprises a conducting tip,  
31 preferably of platinum, having a length of between 1-12 mm,  
32 preferably about 2 mm. The tip is connected via a tip  
33 electrode lead-in wire 138 to a switch at the proximal end  
34 of the cathode which switches the tip to a source of voltage  
35 for pacing or/ablating or to a detector for detecting  
36 electrical signals generated by the heart. A conducting ring



1 electrode 136 is placed, proximal to locating sensor 14, on  
2 the outside of catheter sheath 104 and is connected to  
3 ground or to a recorder via a return lead 140. When used for  
4 pacing, as described below, a 1-10 ma pulse is applied  
5 between tip 16 and ring electrode 136. When used for  
6 ablation RF energy at about 0.5 MHz and 10-100 V is applied  
7 for 10-200 sec.

8 Locating sensor 14 is rigidly attached to the tip and  
9 the sensor and tip may be manipulated by an eccentric wire  
10 142. The twisted wire leads are preferably shielded by a  
11 shield 144 to reduce pickup from the relatively high  
12 voltages carried by leads 138 and 140.

13 Preferably, an electrically insulating heat shield 146  
14 is placed between the tip and the locating sensor.

15 Fig. 15 is a schematic block diagram for acquiring a  
16 basic electrocardiogram map in accordance with a preferred  
17 embodiment of the invention. Using a transesophageal  
18 echocardiograph in the preferred embodiment, a multiplane  
19 image of the heart chambers is acquired prior to the mapping  
20 study. The image is acquired only during a fiducial point  
21 in time during the cardiac cycle. In the preferred  
22 embodiment, the image is acquired at end-diastole in  
23 response to an end diastole synch-signal. A three-  
24 dimensional image of the heart chambers is reconstructed  
25 indicating the endocardial morphology and the location of  
26 one or more reference catheters within the heart chamber.  
27 This image can be acquired by a 3-D transesophogal  
28 ultrasound image, by a CT scanner, by an MRI scanner or by  
29 other imaging techniques. The image can also be constructed  
30 by touching the catheter to the surface of the chamber  
31 (endocardium) in a number of places and measuring the  
32 positions. These points can then be used to describe a thee  
33 dimensional surface which represents the chamber  
34 surface.

35 In the previous PCT and US applications (PCT/US94/08352  
36 filed July 20, 1994 and 08/094,539 respectively), in which



1 fewer than six location and orientation values were  
2 determined, reference locatable catheters were placed at  
3 three positions in the heart to form a reference plane  
4 against which the position of the active catheter was  
5 referenced. Preferably, these reference locatable catheters  
6 were placed, for example, in the right ventricular apex, the  
7 right atrial appendage, and the pulmonary artery at the  
8 level of the pulmonary valve, respectively. When a  
9 reference catheter having a location sensor 14 as described  
10 hereinabove is used for reference purposes, only a single  
11 sensor is required to define the relative location and  
12 orientation of the mapping catheter. While any of these  
13 locations can be used, it is presently preferred to place  
14 the reference sensor in the distal coronary sinus.

15 Fig. 16 is a schematic block diagram for illustrating  
16 the computerized endocardial activation mapping algorithm  
17 (used during sinus rhythm mapping and during ventricular  
18 tachycardia mapping). A visible or audible indicator  
19 preferably indicates the beginning of a data point  
20 acquisition. Both electrical activity and  
21 location/orientation data are acquired for each point in  
22 the map.

23 The acquisition of catheter location information is  
24 shown in left branch of the block diagram of Fig. 16. The  
25 mapper electrode is in steady and stable contact with the  
26 endocardium. Stable contact is determined by measuring the  
27 stability of the location reading, the stability of the  
28 sensed electrograms and the impedance of the contact.

29 The position and orientation of the locating sensor in  
30 the mapping catheter are determined continuously in  
31 accordance with the method described above and are saved in  
32 response to an end diastole synch signal. The mapper  
33 catheter tip is localized relative to the reference catheter  
34 by finding the difference in each of the six dimensions of  
35 the location and orientation. Generally speaking, for the  
36 present application the orientation of the mapper cathode is

1 not required, however, it must be acquired to properly  
2 transform its location and orientation to an internal heart  
3 coordinate system.

4 Simultaneously, the activation time of the heart at the  
5 mapper cathode tip is determined as shown on the right side  
6 of Fig. 16. First the local electrocardiogram at the tip of  
7 the mapper catheter is acquired and the activation time is  
8 calculated based on comparing the amplitude and slope of the  
9 local electrocardiogram to a template or manually by the  
10 user. The local activation time is then defined with  
11 reference to the activation time measured by an ECG terminal  
12 on the skin of the patient.

13 The process of data acquisition can be terminated by  
14 the user, or can be evaluated by an "evaluate activation  
15 map" algorithm described below, that examines the already  
16 acquired activation map for the density of information  
17 relative to the spatial gradient of activation times. This  
18 algorithm can indicate the next preferable site for  
19 activation time detection. The catheter is moved by the  
20 user to the new site, and the process of mapping continues.

21 During VT a data point is determined about every 4 to 6  
22 heart beats. Thus, approximately 15 to 25, typically about  
23 20, data points can be determined each minute.

24 Fig. 17 is a schematic block diagram for illustrating  
25 the computerized pace mapping algorithm. A visible or  
26 audible indicator indicates the beginning of a data point  
27 acquisition. Acquisition of position information is similar  
28 to that for Fig. 16 except that the average mapper location  
29 in the previous n heartbeats (n is the moving average window  
30 duration) is calculated.

31 The right side of Fig. 17 shows the determination of  
32 the ACI (AutoCorelation Index) in a pace mapping mode.

33 In a "pace mapping mode" an ECG processor acquires ECG  
34 data while the patient's heart is paced by an external  
35 source at a rate similar to the patient's arrhythmia cycle  
36 length. The ECG data is also acquired from the body surface

1 electrograms, and the signals are stored as a segment of ECG  
2 with a length of several cycles. The signal acquired is  
3 subjected to automatic comparison with the patient's own VT  
4 signal (see Fig. 18). The comparison between arrhythmia  
5 morphology and paced morphology is performed in two stages:  
6 First, the phase shift between the template VT signal and  
7 the paced ECG morphology is estimated using minimal error or  
8 maximal cross-correlation for two signals. Then, using this  
9 phase shift estimated from an index ECG channel, the  
10 similarity of the VT and the paced ECG morphology is  
11 measured as the average of the cross-correlation or the  
12 square error of the two signals of all channels recorded.

13 This two-stage calculation is repeated each time using  
14 a different ECG channel as the index channel for determining  
15 the phase shift.

16 At the end of this procedure the minimal error or the  
17 maximal cross-correlation found will be reported to the  
18 operator as the ACI of this pacing site.

19 Fig. 18 is a schematic block diagram illustrating an  
20 algorithm used to calculate the cross-correlation index  
21 while pace-mapping in accordance with a preferred embodiment  
22 of the invention. Body surface ECG data is acquired at two  
23 stages. First, during spontaneous or pacing induced VT, and  
24 second, during pacing the endocardium at different sites.  
25 The ECG data acquired during VT are signal averaged, and a  
26 template is constructed ( $T_{ch}$ , for each channel recorded).  
27 During endocardial pacing the ECG data is acquired, and the  
28 same number of beats ( $N$ ) is acquired to calculate the signal  
29 averaged QRS ( $P_{ch}$ , for each channel recorded). The  
30 algorithm then calculates the phase shift between  $P_{ch}$  and  
31  $T_{ch}$ , which yields for the first channel the maximal cross-  
32 correlation. This time shift is used to shift the remaining  
33 channels and calculate for them the cross-correlation. All  
34 cross-correlations for all channels are summarized and  
35 stored. The algorithm then uses the next channel recorded  
36 to calculate the time shift that will cause maximal cross-

1 correlation in this channel. Now this time shift is applied  
2 for all cross-correlations between  $P_{ch}$  and  $T_{ch}$ , and again  
3 all cross-correlations are summarized. This procedure is  
4 repeated for all channels, and the maximal cross-correlation  
5 achieved is used as the value of the cross-correlation of  
6 the  $T_{ch}$  and the  $P_{ch}$  at this site on the endocardium.

7 FIG. 19 is a schematic block diagram for illustrating  
8 the output configuration of the present embodiment. A  
9 quasi-static picture of the heart chambers is presented as  
10 3-D reconstruction of a basic image acquired prior to or  
11 during the study as previously described. Superimposed on  
12 the image is the location of the mapping/ablation catheter  
13 (corrected for the movement of the reference catheter) and  
14 the current and previous information acquired from the  
15 mapping study. This information may include, when  
16 appropriate, the activation times (presented using a color  
17 code at each acquisition site) or cross-correlation index  
18 (ACI) for each point in the pace map. Furthermore, the map  
19 can represent in the color coding the duration of the local  
20 electrograms, the presence of fragmented activity as well as  
21 various other variables calculated by the electrophysiologic  
22 processor.

23 The above principles can be applied for mapping other  
24 structures of the body, for example, of the urinary bladder,  
25 brain, or gastrointestinal tract. Dependent upon the  
26 examination technique, the catheter may be replaced by a  
27 needle whose tip is the locatable sensor port.

28 At each stage (sinus rhythm mapping, pace mapping and  
29 VT mapping) after each data point is acquired, all available  
30 information is reassessed for two purposes: first, to  
31 suggest to the operator the next site for data acquisition,  
32 and second, to test the available information to propose a  
33 site for ablation.

34 Two algorithms are running simultaneously to perform  
35 this procedure:

36 (1) Mapping guidance algorithm. This algorithm uses as

1 an input the available mapped information of a certain  
2 variable (e.g., local activation time during sinus rhythm).  
3 The algorithm calculates the spatial derivative of the  
4 mapped variable (i.e., activation time in this example) and  
5 calculates the next best location for adding another data  
6 point when the objective function is regularizing the  
7 spatial gradients of the mapped variable. For example, this  
8 algorithm will suggest that more data points be acquired in  
9 areas in which the mapped variable is changing significantly  
10 over a short distance.

11 The location suggested by the algorithm is be presented  
12 to the operator as a symbol on the display. The same  
13 display already shows the basic image of the heart chamber  
14 and the current location of the mapping/ablation catheter.  
15 Therefore, the operator will move the mapping/ablation  
16 catheter to reach the suggested location for further data  
17 acquisition.

18 This algorithm is most beneficial during VT mapping,  
19 where the available time for data acquisition is limited by  
20 the adverse hemodynamic effects of the arrhythmia.  
21 Therefore, such an algorithm which examines the available  
22 data points of a map in real-time and immediately suggests  
23 the next site for acquisition is very useful.

24 (2) Prognosing likelihood of successful ablation  
25 algorithm. This algorithm is a user-defined set of  
26 hierarchical rules for evaluating the acquired information  
27 such as the rules given immediately below. The operator is  
28 expected to grade the importance of the specific information  
29 acquired in the mapping/ablation procedure, as to its  
30 likelihood to identify the correct site for ablation.

31 Grading of mapping results suggesting the likelihood of  
32 successful ablation at that site (A = highly likely  
33 successful and D = least likely successful):

34 (a) The identification of a typical re-entrant pathway  
35 on VT mapping with an identifiable common slow pathway -  
36 Grade A;

1 (b)The identification of a site with over 90%  
2 correlation index in the pace map - Grade B;

3 (c) The identification of a site where VT was terminated  
4 with a non-capture premature stimulus - Grade C; and

5 (d) The identification of pre-potential maps recorded  
6 during VT, which are similar to diastolic potential maps  
7 recorded during sinus rhythm - Grade D.

8 Other types of electrographic maps of the heart are  
9 also possible. By use of variables determined from paced or  
10 non-paced acquisitions of electrographic data, the following  
11 additional maps can be generated:

12 (1) Sinus rhythm activation map (isochronal map);

13 (2) Diastolic potential occurrence time map

14 (3) Local latency isochronal map during pace mapping;

15 (4) Activation time isochronal map during VT; and

16 (5) Pre-potential isochronal map during VT mapping.

17 Also, the sites where VT was terminated by a non-  
18 captured premature stimulus can be presented.

19 The acquisition of these maps and of other factors  
20 suitable for mapping and procedures for their determination  
21 as well as additional details of the above mapping  
22 procedures can be found in the above mentioned U.S. Patent  
23 Application Number 08/094,539 and PCT Application  
24 PCT/US94/08352.

25

26

27

28

29

30

31

32

33

34

35

36

LAW OFFICES  
**SANFORD T. COLB & CO.**  
PATENTS, TRADEMARKS & DESIGNS

TEL-AVIV OFFICE:  
8 SHAUL HAMELECH BLVD.  
TEL AVIV, ISRAEL  
TEL 972-3-6938560

REHOVOT OFFICE:  
P.O. BOX 2273  
REHOVOT, ISRAEL  
TEL 972-8-9455122

JERUSALEM OFFICE:  
3 BET HADPHUS STREET  
JERUSALEM, ISRAEL  
TEL 972-2-6519453

TELEX 381354 COPAT IL - TELECOPIER: 972-8-9454556 AND 972-3-6938561

**URGENT**

October 6, 1996

European Patent Office  
International Preliminary Examination Authority  
Attention: Mr. M. R. Stern (Bayerstrasse office)  
Erhardtstrasse 27  
D-80298 Munich, Germany

Re: PCT Application PCT/US95/01103; Medical Diagnosis,  
Treatment and Imaging Systems; Our Ref. 20885

Dear Mr. Stern,

Further to our meeting of September 26, 1996, I enclose a new set of claims and a letter accompanying the new claims which sets forth our arguments concerning patentability, new matter and examinability (with respect to claims 37-39) of the claims. The claim set includes all the changes which we discussed at our meeting and other amendments as described in the accompanying letter.

I believe that you will now find that, in view of the amendments to the claims and the accompanying letter, you will be able to issue a positive IPER in this application. We would greatly appreciate your comments as to unity of invention as it would be viewed from the perspective of the EPO in the national stage. This will enable us to file European applications in an efficient manner.

Sincerely,

*Paul Fenster*

Dr. Paul Fenster  
Patent Attorney  
Sanford T. Colb & Co.

Application Number: PCT/US95/01103

Title: MEDICAL DIAGNOSIS, TREATMENT AND IMAGING SYSTEMS

Filing Date: January 24, 1995

Applicant: Biosense, Ltd.

LETTER ACCOMPANYING AMENDMENT

This communication is in response to a written opinion dated July, 9 1996 in the above referenced application. This letter is accompanied by new claims 1-68 contained on replacement pages 40-49. Page 50 is canceled. Claims 1, 29, 33 and 40 have been amended. Claim 58 and 59 have been canceled and the succeeding claims have consequently been renumbered. The following discussion refers to the claims as now numbered. References to the specification are to the published version thereof.

The applicants thank, Mr. Stern, the Examiner in charge of the application for the courteous interview granted to Dr. Shlomo Ben-Haim, one of the inventors and applicants' agent, Dr. Paul Fenster on September 26, 1996. The following remarks and the amendments in the accompanying claims include changes to the claims agreed to at the interview.

Invention 1

The independent claims in this invention (claims 1 and 33) were rejected, in the written opinion, as being anticipated by D1. During the interview D3 was also mentioned by the Examiner as being relevant to these claims.

Both claims 1 and 33 require the computing of the six dimensions for position and orientation in response to the signals generated by the sensors. Neither D1 nor D3 teaches the finding of the six dimensions of position and orientation, inter alia since neither uses such six dimensions. In particular D1 (references are to the English language version, US 5,295,486) at col. 3, lines 55-64 (the portion cited by the Examiner) and the following paragraph describe the calculation of position using two sensors and two field induction loops. Such a system can not generate the required six dimensions. In the next following



paragraph orientation is mentioned but it is not a parameter to be measured but rather in terms of determination if some favored position or orientation is achieved. In particular, there is neither motivation nor structure in D1 for finding six dimensions of position and orientation.

Applicants have amended claim 1 to make clear that the six dimensions are calculated in response to the signals generated by the sensor coils. This is already explicit in claim 33, which has however, been amended in other respects for improved clarity.

With respect to D3, here again there is a failure of both motivation and structure. First, since the coils are all axially directed, they cannot be used determine the orientation angle about the axis of the catheter (the "roll"), which is one of the three dimensions of orientation. Furthermore, for the use described in D3 there is no need for this orientation. In fact the reference at page 2, lines 2-3 and 32-33; page 3, lines 10-11 and 21-26; and page 5, lines 5-9 and 30 et seq. describes only determination of position. Furthermore page 9, lines 20-24 and page 9, line 32 to page 16, line 16 describe the determination of the position and two dimensions of orientation.

Furthermore, there is no reason given for determining the third orientation to carry out the purposes of the reference.

For this reason neither D1 or D3 anticipate invention 1 as claimed or make it obvious.

## Invention 2

Three independent claims are used to define this invention, namely claims 29, 37 and 60. These claims were rejected in the written opinion as being anticipated by D2. However, each of the claims contains limitations which are not found in the D2.

In particular, claim 29 describes manipulating the distal end of the catheter. D2 does not manipulate the distal end of the catheter but rather keeps it fixed and manipulates a transducer which is movable within the fixed catheter. Claim 37 includes the requirement that the six dimensions of position and orientation of the transducer be determined for each representation. However, D2 does not determine any position and does not determine all three orientations of the transducer. Finally, claim 60 includes

taking measurements at a plurality of positions and orientations and utilizing the measurements to form an image of a surface. D2 makes all the measurements from a single position.

The Examiner refused to examine claims 37-39 as being directed to a "surgical method of treatment." However, the claim is directed to a method of imaging and not to a method of treatment. Furthermore, no surgical activity is either explicit or implicit in claim 37, the claimed method being equally applicable to a method of imaging the colon for example. Nor, under the rules, is a surgical method of imaging excluded from examination under the PCT. The relevant PCT rule (67.1(iv)) reads: "methods for treatment of the human or animal body by surgery or therapy as well as diagnostic methods." According to this rule only surgical treatment methods are excluded. All diagnostic methods are excluded, however, medical imaging methods are not diagnostic methods, per se and are not excluded. In this regard Applicants note that there is nothing in the rules which makes any distinction between surgical and non-surgical methods of diagnosis. If surgical imaging methods are excluded as being diagnostic, then so should non-surgical imaging methods such as X-ray, CT, MRI and Nuclear Medicine imaging methods. However, long tradition sanctions such claims.

### Invention 3

This invention is now represented by only one independent claim, namely, claim 58. This claim was rejected as being anticipated by D6 (Figs. 9, 10; page 5, lines 12-35; and page 14, line 21 to page 15, line 19). However, D6 does not teach at least one element of claim 58, namely, a "flat relatively flexible portion being slit along a portion of the length thereof." The embodiment covered by this claim is shown in Fig. 10 of the present application and does not correspond to any disclosure in D6.

### Invention 4

This invention includes one independent claim, namely claim 40. Claim 40 as originally presented distinguished over the cited

prior art, D3, in that it required that the multiple field sensors be "proximate to the distal end." In D3 where two or more coils are utilized they are described as "two or more sensing coils may be mounted in spaced relationship along the length of the probe, one preferably being located adjacent the tip of the probe and the others removed from the tip." (Emphasis added.)

The dictionary defines "proximate" as being "very near," which is diametrically opposed to the teaching of D3 which requires a spacing for its proper operation.

In order to further distinguish the claim from the prior art claim 40 has been amended to add that the sensors have a fixed orientation between them. In D3, the sensors do not have such a fixed orientation. For the basis for this change see the discussion below of the "new" material rejection of claim 29 (in particular, page 25, lines 8-10).

#### FORMAL MATTERS

1) The Examiner suggested in the written opinion that claim 29 had been amended, in the amendment accompanying the demand under Chapter II, by adding undisclosed subject matter. Applicants disagree. As was explained by applicants' agent at the interview, the added feature is implicit from the disclosure and is clearly evident to a person of skill in the art who reads the specification. In particular, the transducer is defined at page 28, lines 16-17 as being "forward looking." Furthermore, the specification describes the requirement of changing the orientation of the device without changing its position by a great amount (page 28, lines 22-25). Additionally, the method given for changing the orientation is to change the orientation of the distal end of the catheter as a whole. Furthermore, the transducer is described as being contained in a relatively rigid housing attached to the distal end (page 29, lines 10-14). The transducer and the sensor are described as being rigidly attached "so that measurement of the position and orientation of the locating sensor will the position and orientation of the active portion" (page 29, line 24-28). Finally, on page 25, lines 8-10 the three sensors are described as having a "fixed and known

location and orientation in the remote object reference frame," a remote object being, for example, a medical instrument, such as a catheter (see page 27, lines 3-4 and 12).

From all of these statements (and from Fig. 14) it is clear that in at least some of the described embodiments the sensors are fixed with respect to the distal end of the catheter and with respect to the sensor so that the addition to claim 29 is well based on the disclosure. Applicants note that the amendment is not required for patentability (see the above discussion of Invention 2) but was added to further clarify the invention and to further distinguish it from the prior art.

2) Formal changes to the claims have been required by the examiner to place them in European form (section VII, items 1-4). Since the present application will form the basis for applications in many different countries with varying rules of claim structure and different requirements for citing prior art in the disclosure. In view of this fact applicants will defer carrying out these requirements until the National stage. At that time, the claim structure and specification will be adapted to local rules and practice. Of course, we expect that these observations will be included in the IPER.

3) Claim 1 has been amended to overcome the objection to the use of the word "point."

CLAIMS

1  
2 1. A locating system for determining the location and  
3 orientation of an invasive medical instrument relative to a  
4 reference frame, comprising:

5 a plurality of field generators which generate known,  
6 distinguishable fields in response to drive signals;

7 a plurality of sensors situated in the invasive medical  
8 instrument proximate the distal end thereof which generate  
9 sensor signals in response to said fields; and

10 a signal processor which has an input for a plurality  
11 of signals corresponding to said drive signals and said  
12 sensor signals and which computes the three location  
13 coordinates and three orientation coordinates of a portion  
14 of the invasive medical instrument, responsive to said drive  
15 and sensor signals.

16  
17 2. The locating system according to claim 1 wherein one of  
18 the plurality of field generators or sensors comprises three  
19 distinguishable, non-overlapping, generators or sensors.

20  
21 3. The locating system of claim 1 wherein said plurality  
22 of field generators comprises three distinguishable, non-  
23 overlapping, generators and said plurality of sensors  
24 comprises three distinguishable, non-overlapping sensors.

25  
26 4. The locating system of any of claims 1-3 wherein each  
27 sensor comprises a coil.

28  
29 5. The locating system of claim 4 wherein said plurality  
30 of coils have axes which intersect within a coil.

31  
32 6. The locating system of claim 4 or claim 5 wherein said  
33 plurality of coils comprises three coils and wherein said  
34 coils have axes which do not all intersect in a point.

35  
36 7. The locating system of any of the preceding claims

1 wherein the fields generated by each of the field generators  
2 have a different frequency, a different phase, or both a  
3 different frequency and a different phase.  
4

5 8. The locating system of any of the preceding claims,  
6 wherein the field generated by each field generator has a  
7 different frequency.  
8

9 9. The locating system of claim 8, wherein the frequencies  
10 of the field generators are each integer multiples of a  
11 given frequency.  
12

13 10. The locating system of any of claims 7-9, wherein the  
14 signal processor cross-correlates the signals corresponding  
15 to the drive and sensor signals.  
16

17 11. The locating system of claim 9, wherein the signal  
18 processor cross-correlates the signals corresponding to the  
19 drive and sensor signals and wherein the duration of the  
20 cross-correlation of the inputs is the minimal common  
21 product of the integer multipliers divided by the given  
22 frequency.  
23

24 12. The locating system of claim 10 or claim 11, wherein  
25 the results of the cross-correlation are used to calculate  
26 the contribution of each field generator to the signal  
27 generated by each said sensor.  
28

29 13. The locating system of any of the preceding claims  
30 wherein the fields are AC magnetic fields.  
31

32 14. The locating system of claim 13, wherein the AC  
33 magnetic fields are continuous fields.  
34

35 15. The locating system of any of the preceding claims and  
36 including a display system for displaying the position of

1 the point on the invasive medical instrument.

2  
3 16. The locating system of any of the preceding claims  
4 wherein there is an additional sensor on a portion of the  
5 invasive medical instrument which senses a local condition.

6  
7 17. The locating system of claim 16 wherein the additional  
8 sensor senses local electrical signals and transfers them to  
9 terminals external to the patient's body.

10  
11 18. The locating system of claim 17, wherein the signals are  
12 electrical signals from the endocardium of the patient's  
13 heart.

14  
15 19. The locating system of claim 18, wherein the signal  
16 processor processes the position and orientation coordinate  
17 signals and the local electrical signals acquired at a  
18 plurality of points on the endocardium to generate a map  
19 that represents the propagation of electrical signals  
20 through tissue in the patient's body.

21  
22 20. The locating system of any of claims 16-22 wherein the  
23 additional sensor is operative for supplying electrical  
24 energy to the endocardium for ablating a portion of the  
25 endocardium.

26  
27 21. The locating system of any of claims 1-16 and including  
28 an electrode adapted for supplying electrical energy to the  
29 endocardium for ablating a portion of the endocardium.

30  
31 22. The locating system of claim 16 wherein the additional  
32 sensor is an ultrasonic transmitter/receiver.

33  
34 23. The locating system of claim 22 wherein the ultrasonic  
35 transmitter/receiver provides a less than three dimensional  
36 representation of the acoustic properties of tissue beyond

1 the distal end.

2

3 24. The locating system according to claim 23 wherein the  
4 distal end is deflectable.

5

6 25. The locating system according to claim 24 and including  
7 image reconstruction circuitry which receives a plurality of  
8 said less than three dimensional representations acquired at  
9 different orientations of the distal end and produces a  
10 three dimensional map of the acoustic properties of tissue  
11 at least partially surrounding the distal end.

12

13 26. The locating system of any of the preceding claims and  
14 further comprising a reference instrument which includes a  
15 plurality of sensors situated in the reference instrument,  
16 wherein said display system displays the position of the  
17 point on the invasive medical instrument relative to the  
18 position of a point on the reference instrument.

19

20 27. The locating system of claim 26, wherein the locating  
21 system comprises only a single reference instrument.

22

23 28. The locating system of claim 26 or claim 27 wherein the  
24 reference instrument is an invasive medical instrument and  
25 wherein said sensors are situated proximate the distal end  
26 thereof.

27

28 29. An imaging system for intra-body ultrasonic imaging  
29 comprising:

30 a invasive medical instrument having an axial-looking  
31 ultrasonic imaging transducer attached to a distal end of  
32 the instrument, which transducer generates a representation  
33 of the acoustic properties of tissue beyond the distal end;

34 means for manipulating the distal end to change the  
35 orientation thereof; and

36 image reconstruction circuitry which receives a



1 plurality of said representations acquired at different  
2 orientations of the distal end and produces a three  
3 dimensional map of the acoustic properties of tissue at  
4 least partially surrounding the distal end based on said  
5 plurality of representations acquired at different  
6 orientations of the distal end.

7  
8 30. The imaging system of claim 29 and further comprising:

9 a plurality of field generators which generate known,  
10 distinguishable fields in response to drive signals;

11 a plurality of sensors situated in the invasive medical  
12 instrument proximate the distal end thereof which generate  
13 sensor signals in response to said fields; and

14 a signal processor which has an input for a plurality  
15 of signals corresponding to said drive signals and said  
16 sensor signals and which produces three location coordinates  
17 and three orientation coordinates of the a point on the  
18 transducer.

19  
20 31. The imaging system of claim 29 or claim 30 wherein said  
21 representations are one or two dimensional representation.

22  
23 32. The system of any of the preceding claims wherein the  
24 invasive medical instrument is a catheter or endoscope.

25  
26 33. A method of determining the position and orientation of  
27 an invasive medical instrument having a distal end,  
28 comprising:

29 (a) generating a plurality of distinguishable,  
30 geometrically different AC magnetic fields;

31 (b) sensing the AC magnetic fields at a plurality of  
32 sensors proximate the distal end; and

33 (c) computing six dimensions of position and  
34 orientation of a portion of the invasive medical instrument  
35 responsive to signals representative of the generated  
36 magnetic fields and the sensed magnetic fields.

1  
2 34. A method according to claim 33 wherein the plurality of  
3 distinguishable, geometrically different fields comprises  
4 three such fields.

5  
6 35. A method according to claim 33 or claim 34 wherein the  
7 AC magnetic field is sensed at three points of the invasive  
8 medical instrument.

9  
10 36. A method according to any of claims 33-35 wherein the  
11 invasive medical instrument is a catheter or endoscope.

12  
13 37. An ultrasonic intra-body imaging method comprising:

14 (a) inserting an ultrasonic transducer into the body,  
15 said ultrasonic transducer producing a representation of the  
16 acoustic properties of tissue beyond an end of the  
17 transducer;

18 (b) manipulating the orientation of the transducer to  
19 provide a plurality of said representations;

20 (c) determining the six dimensions of position and  
21 orientation of the transducer for each of the  
22 representations; and

23 (d) constructing a three dimensional map of the  
24 acoustic properties of the tissue in a region at least  
25 partially surrounding the end of the transducer from said  
26 plurality of representations.

27  
28 38. A method according to claim 37 wherein:

29 inserting a transducer comprises inserting an invasive  
30 medical instrument into the body of a patient, said  
31 ultrasonic transducer being positionally and orientationally  
32 fixed with respect to a distal end of the instrument; and

33 manipulating comprises changing the orientation of the  
34 distal end.

35  
36 39. A method according to claim 37 wherein the

1 representation is a less than three dimensional  
2 representation.

3

4 40. A invasive medical instrument comprising a plurality of  
5 at least three magnetic field sensors proximate the distal  
6 end thereof, said sensors having a fixed orientation  
7 therebetween.

8

9 41. The instrument of claim 40 wherein each sensor  
10 comprises a coil.

11

12 42. The instrument of claim 41 wherein said plurality of  
13 coils have axes which intersect within a coil.

14

15 43. The instrument of any of claims 40-42 wherein the  
16 plurality is three.

17

18 44. The instrument of claim 41 or claim 42 wherein said  
19 plurality of coils comprises three coils and wherein said  
20 three coils have axes which do not all intersect in a point.

21

22 45. The instrument of any of claims 40-44 and further  
23 comprising an ultrasound transducer at said distal end.

24

25 46. The instrument of claim 45 wherein said ultrasound  
26 transducer provides a representation of the acoustic  
27 properties of tissue beyond and along the axis of the  
28 catheter.

29

30 47. The instrument of claim 46 wherein said representation  
31 is a one dimensional representation.

32

33 48. The instrument of claim 46 wherein said representation  
34 is a two dimensional representation.

35

36 49. The instrument of any of claims 40-44 and further

1 comprising an electrical probe at said distal end.

2

3 50. The instrument of claim 49 wherein said electrical  
4 probe is adapted to sense electrical signals generated by  
5 tissue which is in contact and conduct said signals to the  
6 proximal end of the catheter.

7

8 51. The instrument of claim 49 or claim 50 wherein said  
9 electrical probe is adapted to supply an ablative electrical  
10 signal to tissue contacting said probe.

11

12 52. The instrument of any of claims 40-44 and including a  
13 sensor for measuring local chemistry at the distal end.

14

15 53. The instrument of any of claims 40-52 wherein said  
16 instrument is a catheter or endoscope.

17

18 54. The instrument of any of claims 40-53 and also  
19 including means for changing the orientation of the distal  
20 end.

21

22 55. The instrument of claim 54 wherein the means for  
23 changing the orientation comprises;

24 a relatively more flexible wire passing through the  
25 medical instrument that is attached to the distal end and  
26 has a bend near the distal end;

27 a relatively more rigid sleeve which is straight near  
28 the distal end and which slideably holds the wire thereat,  
29 whereby when the sleeve is slid over the wire, the wire and  
30 distal end are straightened.

31

32 56. An instrument according to claim 55 wherein instrument  
33 has a lengthwise axis and wherein the wire is sited off the  
34 axis of the instrument.

35

36 57. An instrument according to claim 54 wherein the means

1 for changing the orientation comprises;

2 a flat relatively flexible portion being slit along a  
3 portion of the length thereof to form two portions which are  
4 attached at a first end thereof, said first end being  
5 attached to the distal end of the instrument;

6 a pair of wires, one end of each of which being  
7 attached to one of said portions at a second end thereof;  
8 and

9 means for changing the relative lengths of the wires  
10 whereby the flexible element is bent, thereby steering the  
11 distal end of the instrument.

12

13 58. Apparatus for steering the distal end of an invasive  
14 medical instrument comprising:

15 a flat relatively flexible portion being slit along a  
16 portion of the length thereof to form two portions which are  
17 attached at a first end thereof, said first end being  
18 attached to the distal end of the instrument;

19 a pair of wires, one end of each of which being  
20 attached to one of said portions at a second end thereof;  
21 and

22 means for changing the relative lengths of the wires  
23 whereby the flexible element is bent, thereby steering the  
24 distal end of the instrument.

25

26 59. Apparatus according to claim 58 wherein the invasive  
27 medical instrument is a catheter or endoscope.

28

29 60. A method of producing a three dimensional image of the  
30 internal surface of an internal body organ comprising:

31 measuring the distance to said surface from a plurality  
32 of orientations and positions within the internal surface;  
33 and

34 assembling the distance measurements to form an image  
35 of the surface.

36

1 61. A method according to claim 60 wherein the measurement  
2 of distances is preformed utilizing an ultrasonic  
3 transducer.

4

5 62. A invasive medical instrument comprising a plurality of  
6 magnetic field sensors and an ultrasound transducer  
7 proximate the distal end thereof.

8

9 63. The instrument of claim 62 wherein said ultrasound  
10 transducer provides a representation of the acoustic  
11 properties of tissue beyond and along the axis of the  
12 catheter.

13

14 64. The instrument of claim 63 wherein said representation  
15 is a one dimensional representation.

16

17 65. The instrument of claim 63 wherein said representation  
18 is a two dimensional representation.

19

20 66. The instrument of any of claims 45-48 and 62-65 wherein  
21 the ultrasound transducer is positionally and  
22 orientationally fixed with respect to the distal end of the  
23 instrument.

24

25 67. The instrument of claim 66 and including means for  
26 controlably changing the orientation of the transducer by  
27 changing the orientation of the distal end of the  
28 instrument.

29

30 68. The instrument of any of claims 62-67 wherein said  
31 instrument is a catheter or endoscope.

32

33

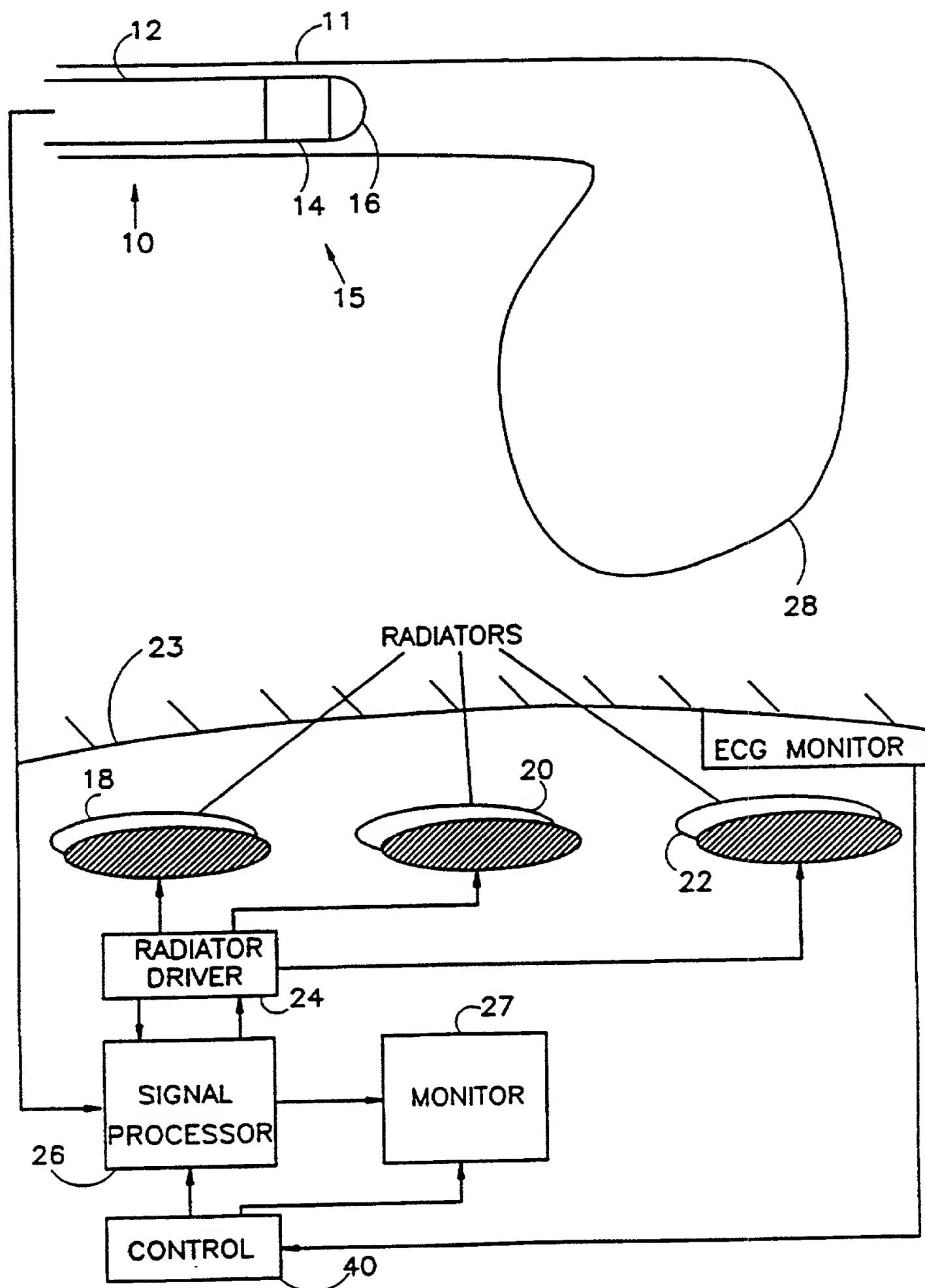
34

35

36

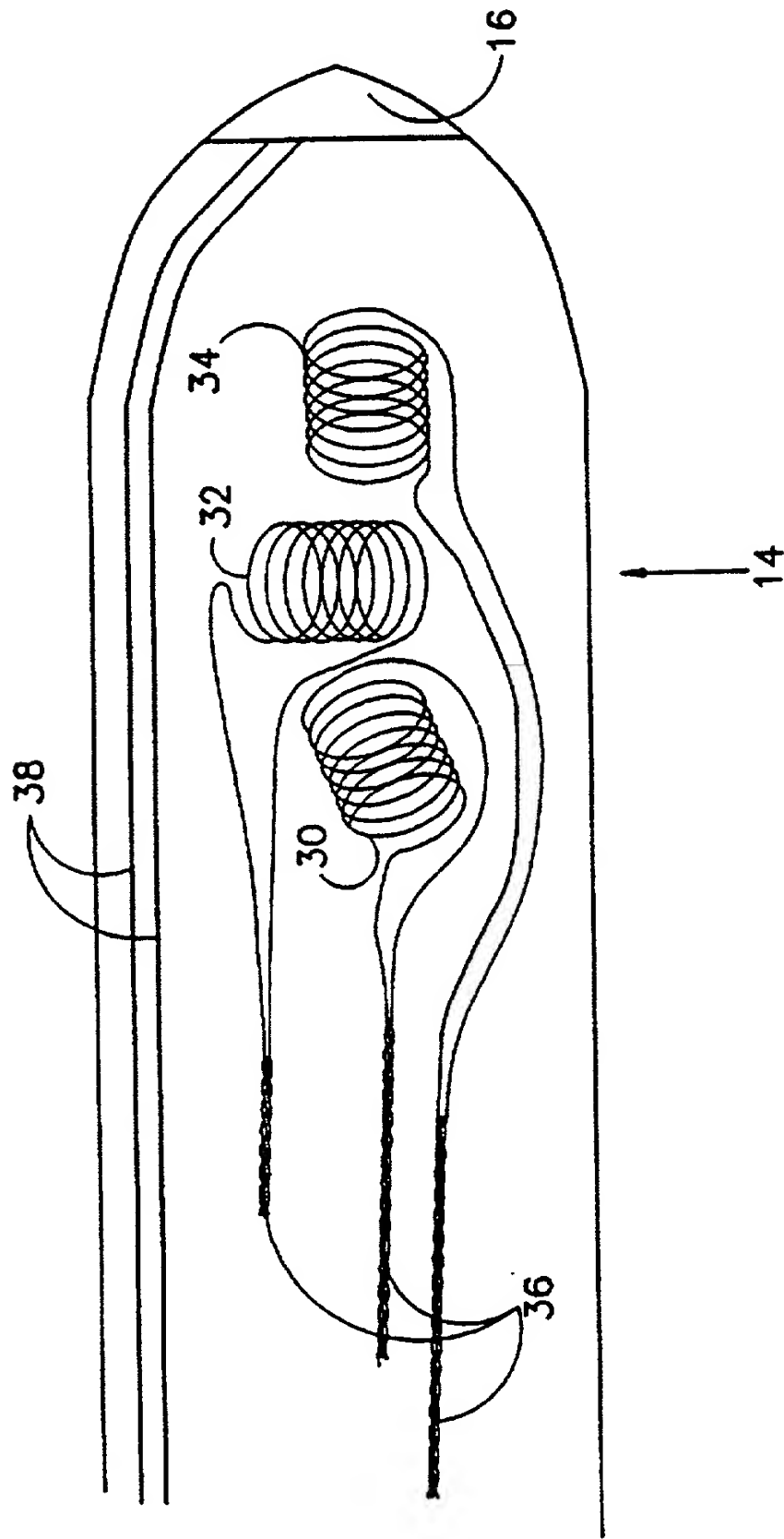
1/19

FIG. 1



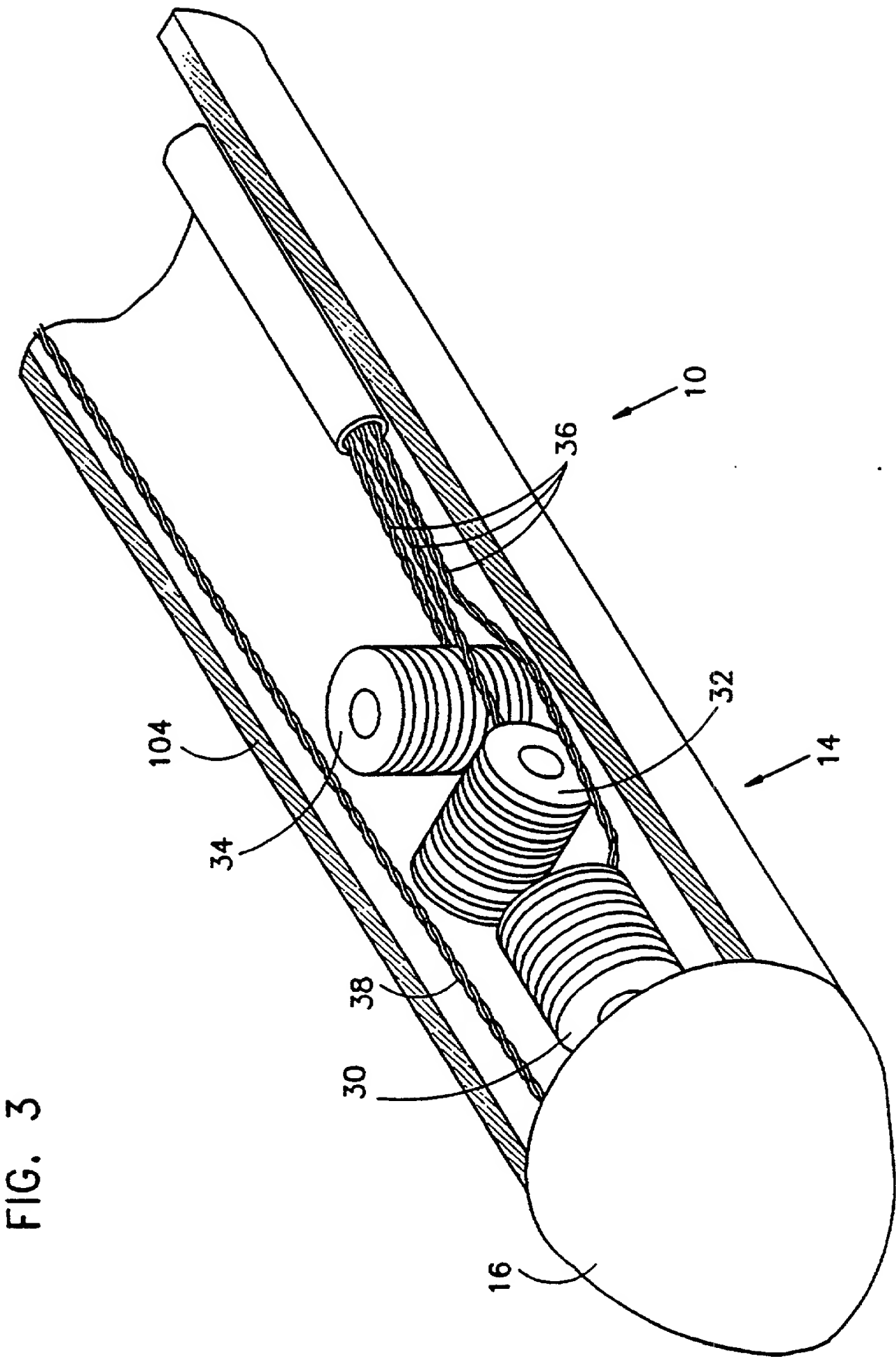
2/19

FIG. 2



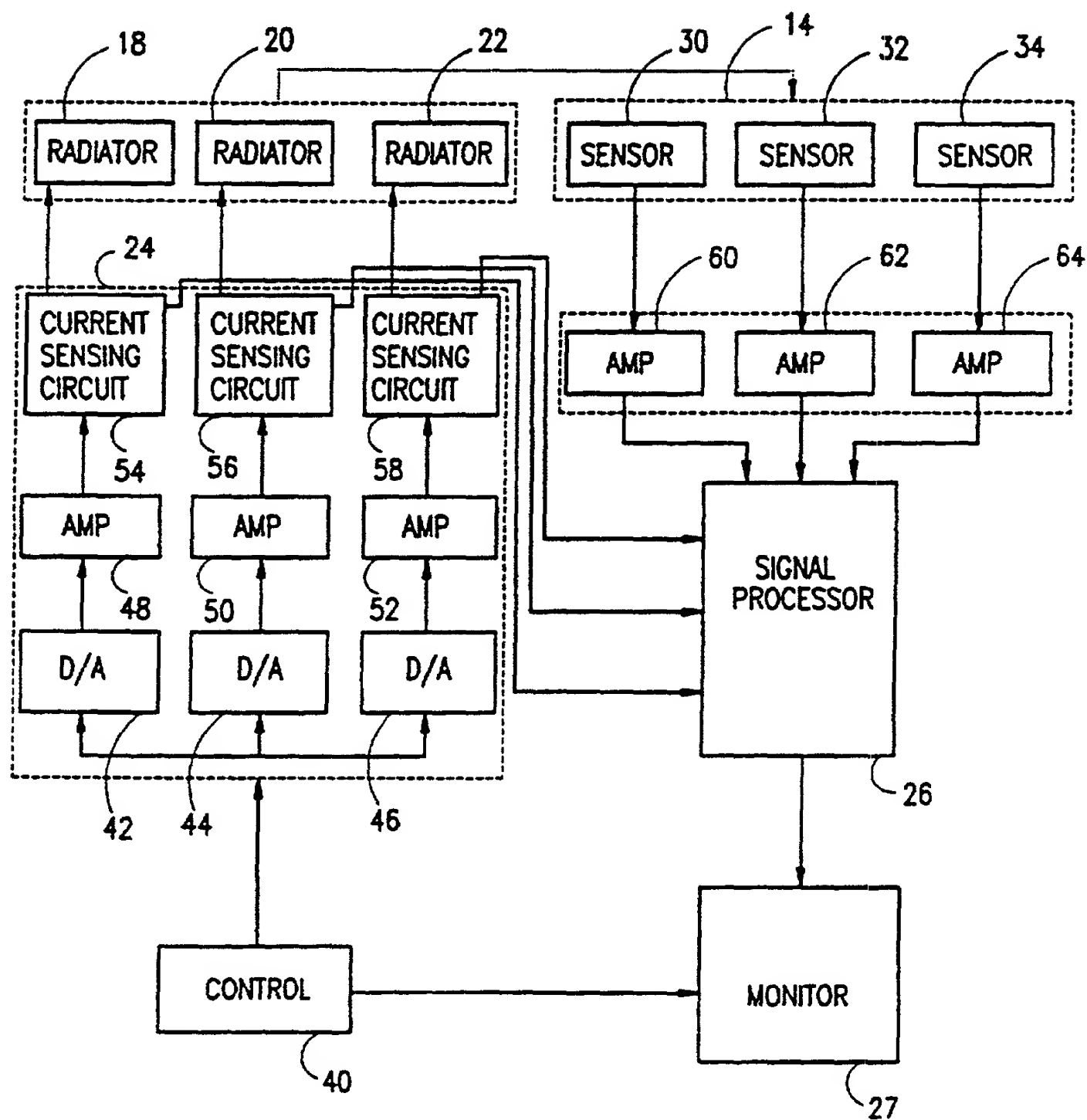


3/19



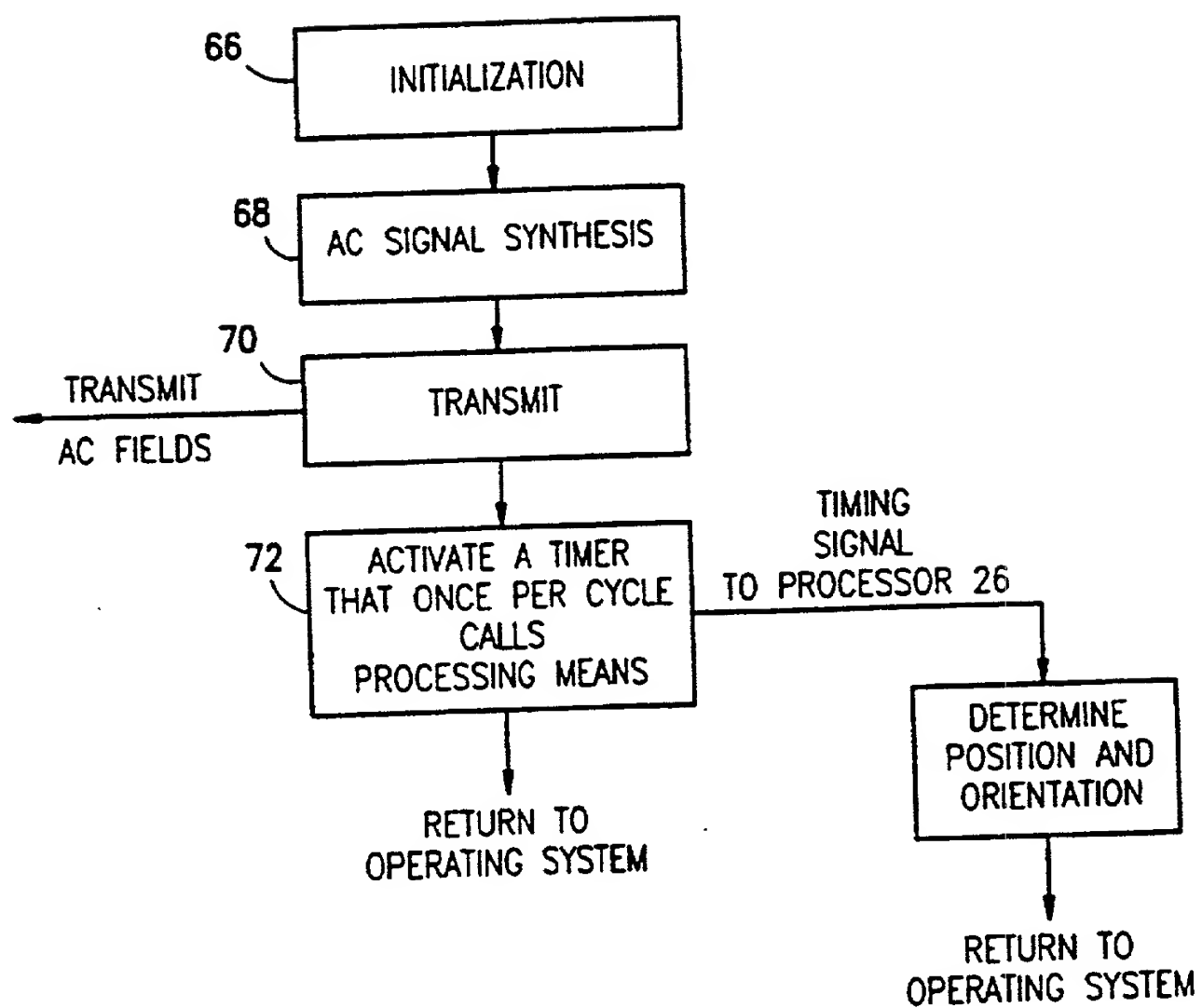
4/19

FIG. 4



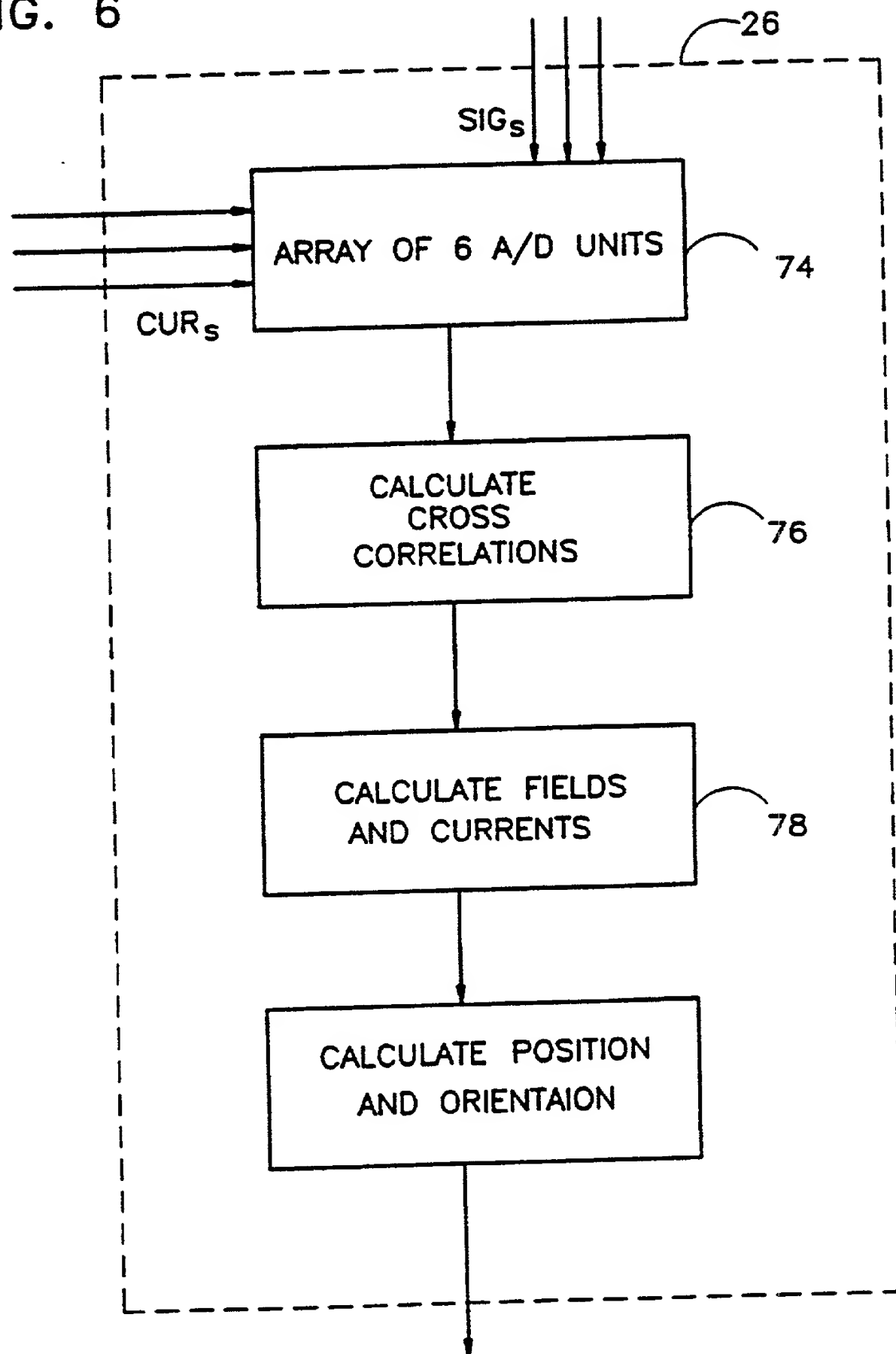
5/19

FIG. 5



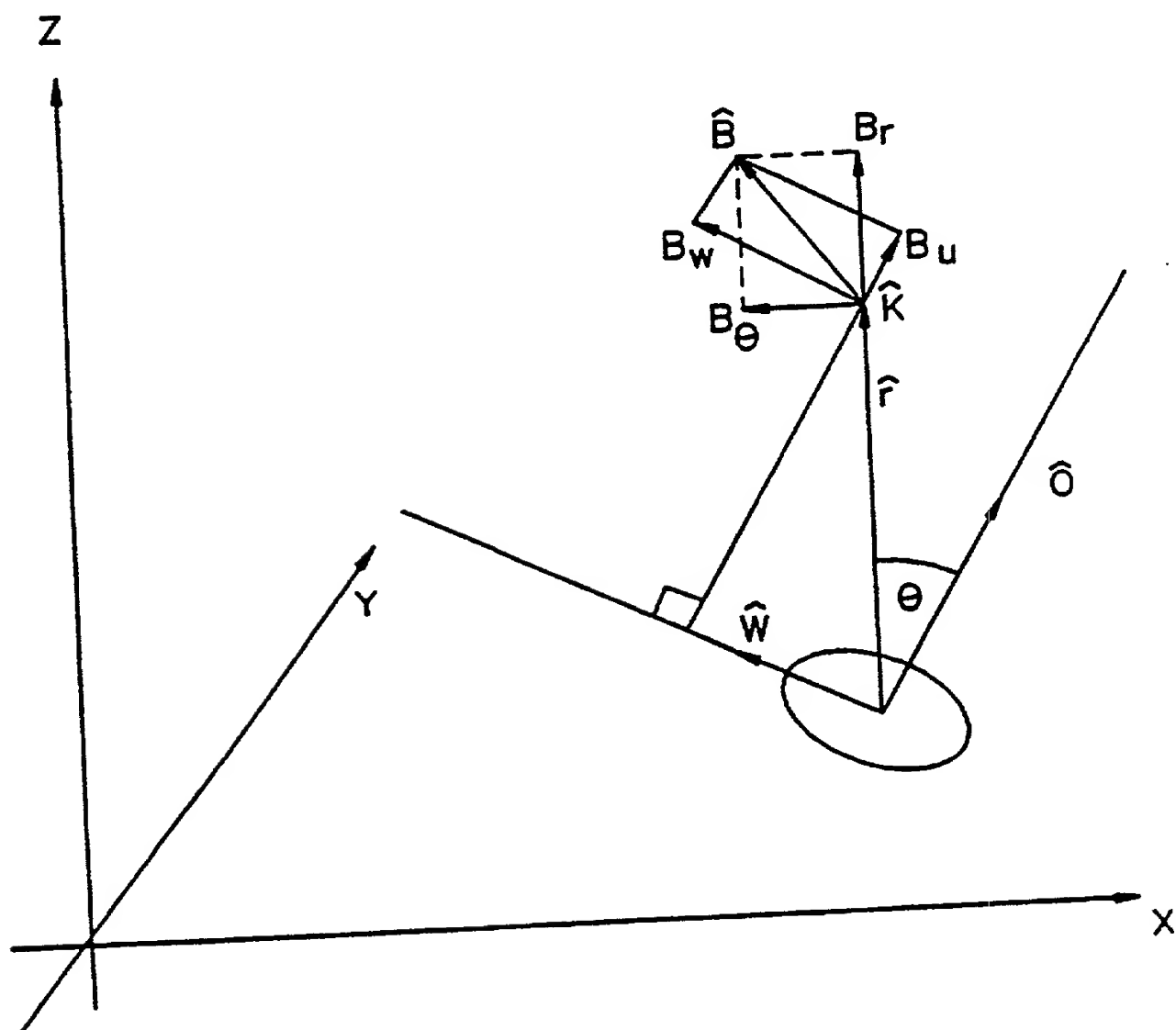
6/19

FIG. 6



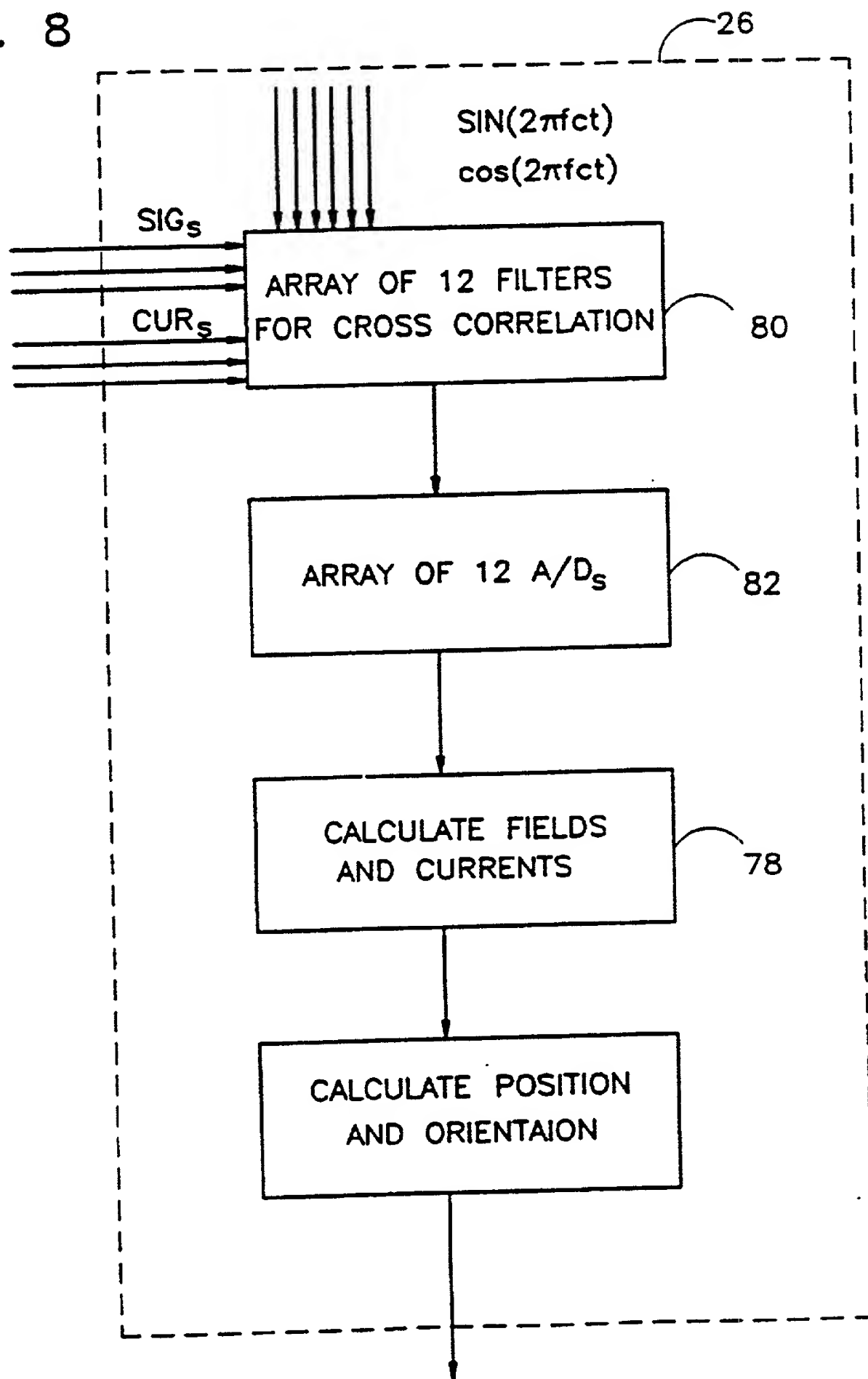
7/19

FIG. 7



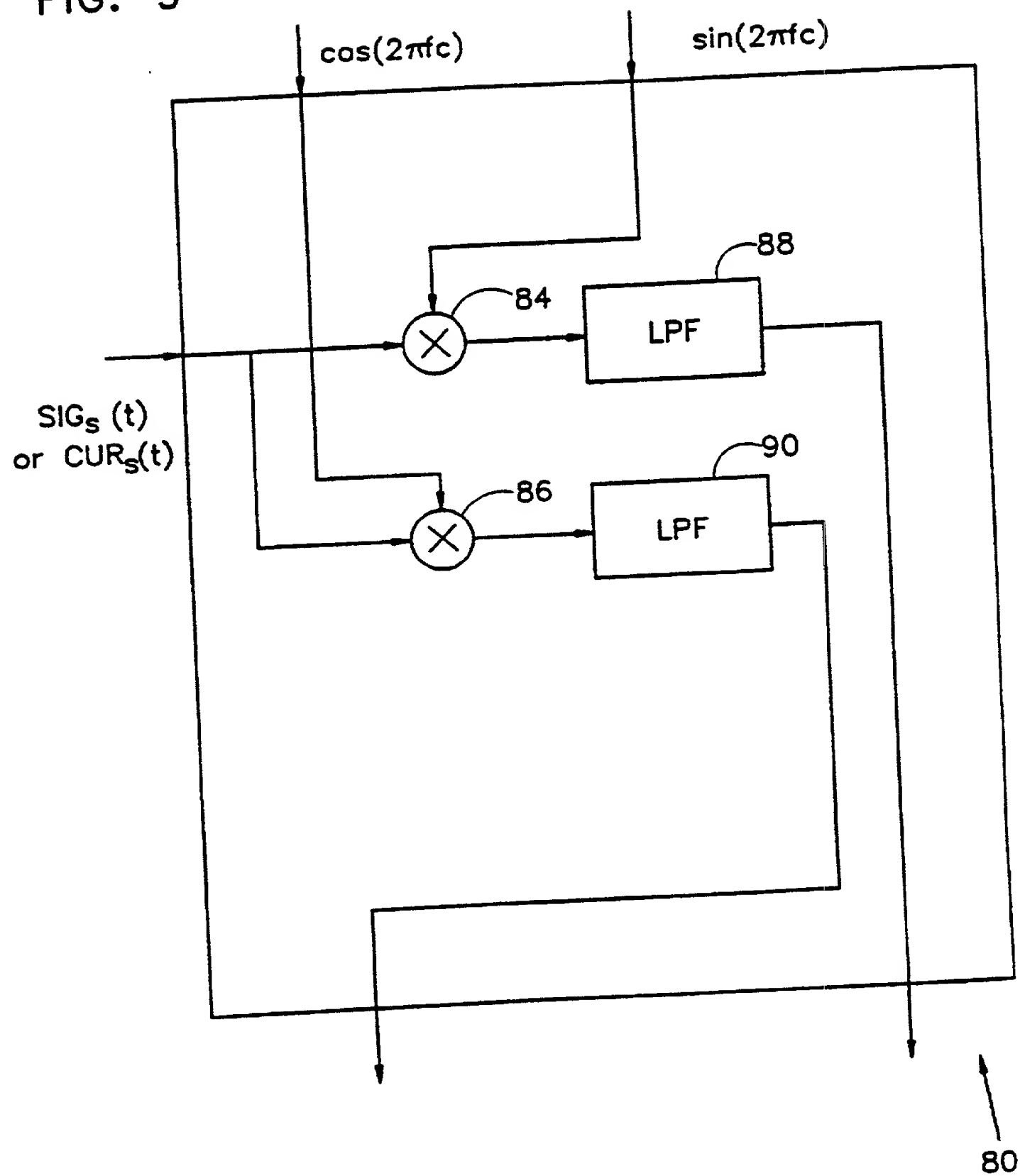
8/19

FIG. 8



9/19

FIG. 9



10/19

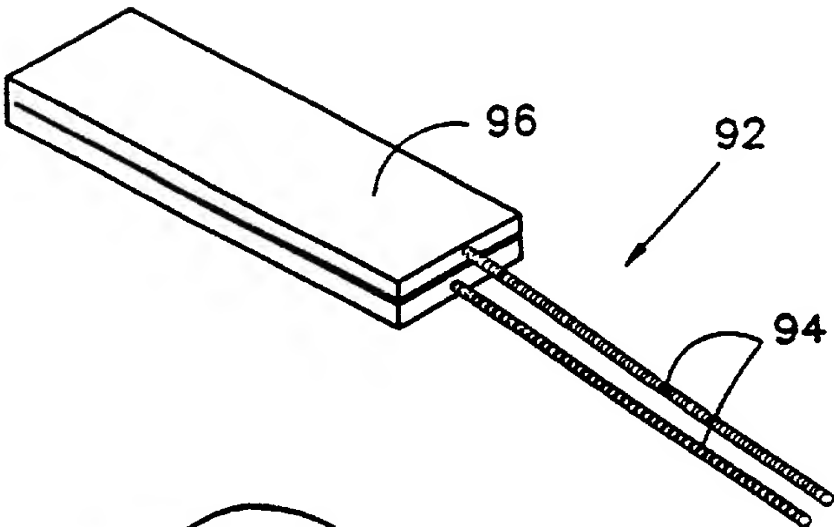


FIG. 10A

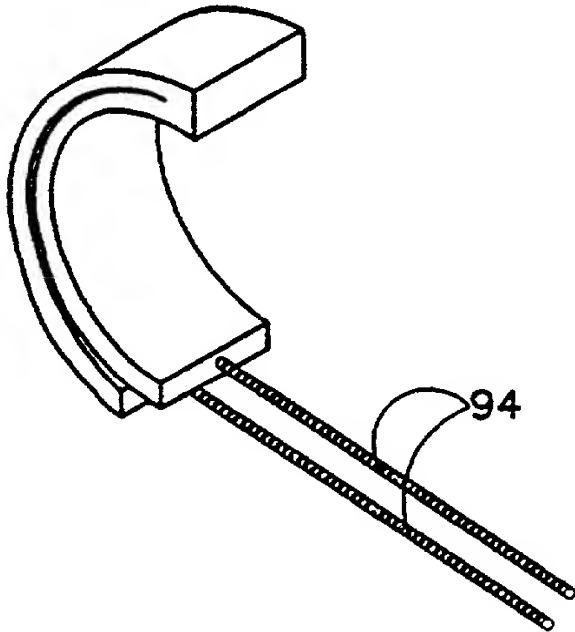


FIG. 10B

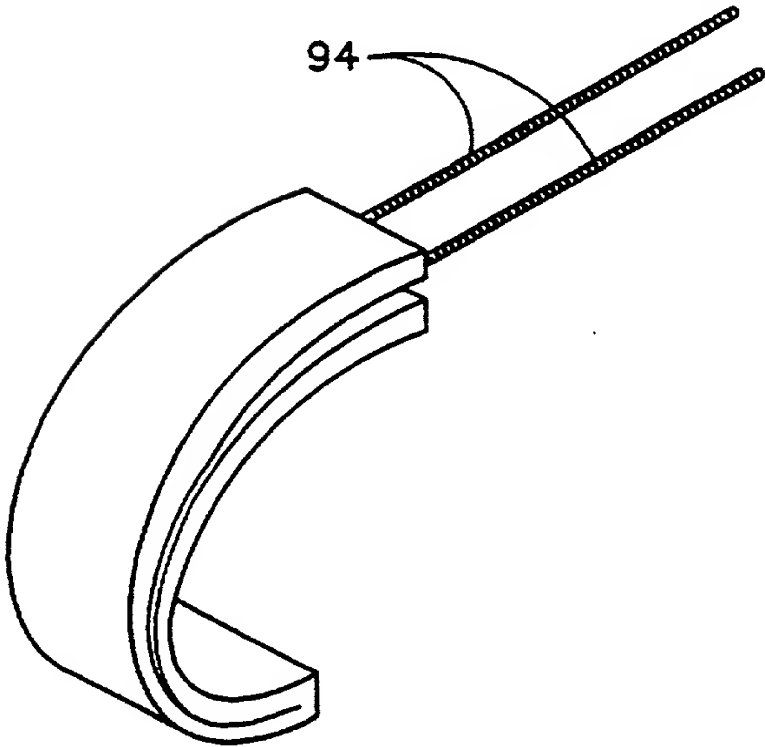
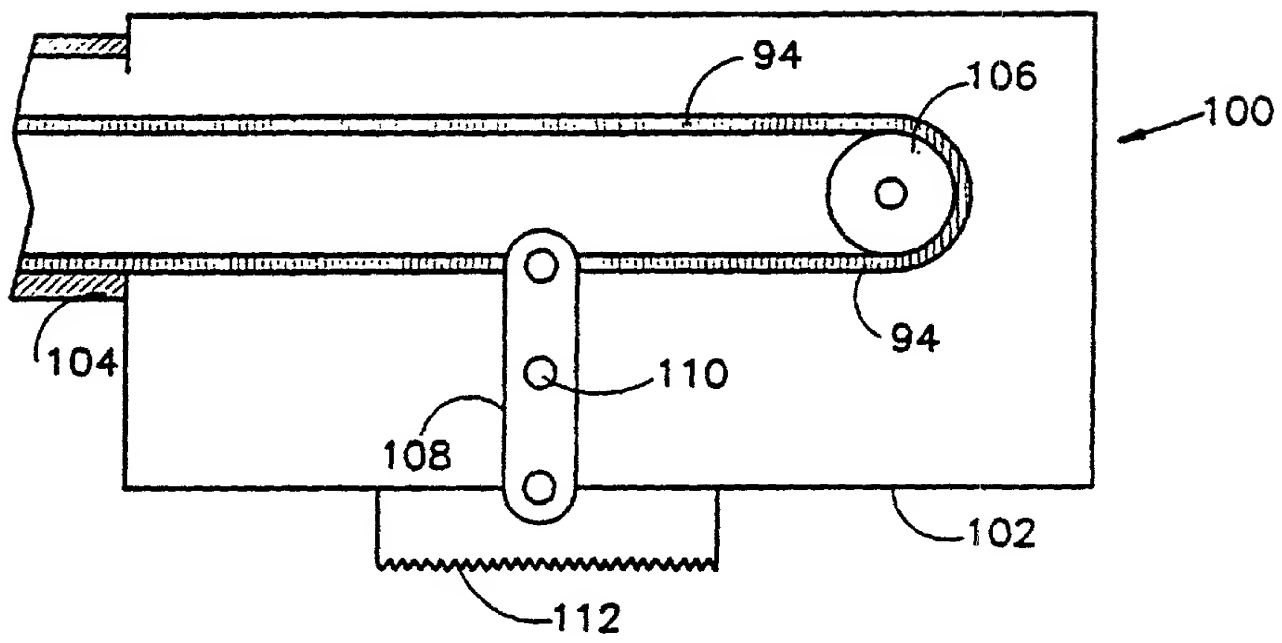


FIG. 10C



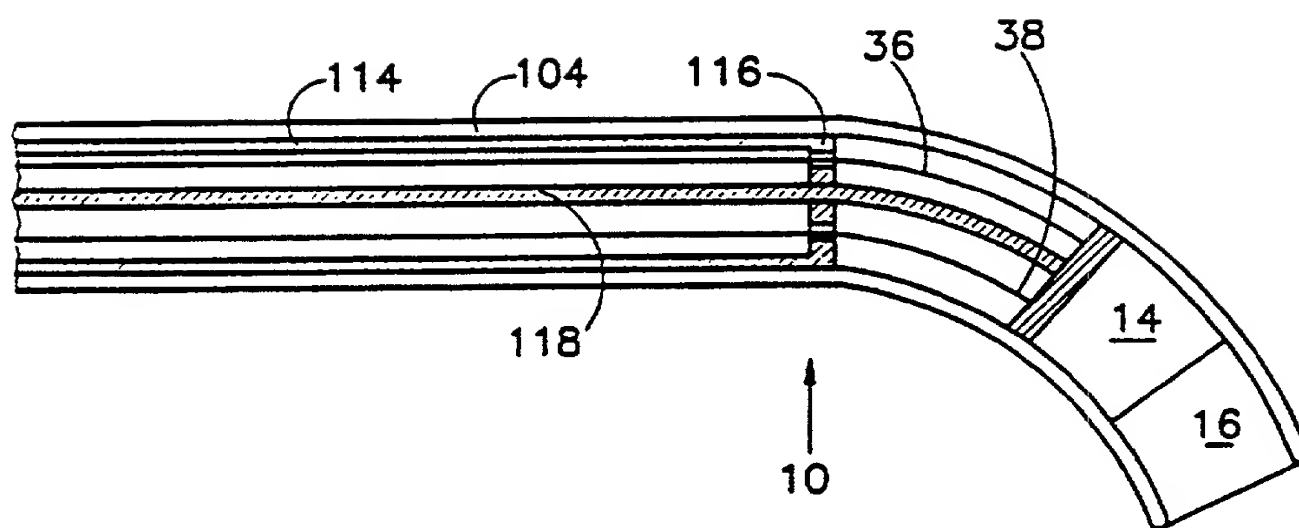
11/19

FIG. 10D



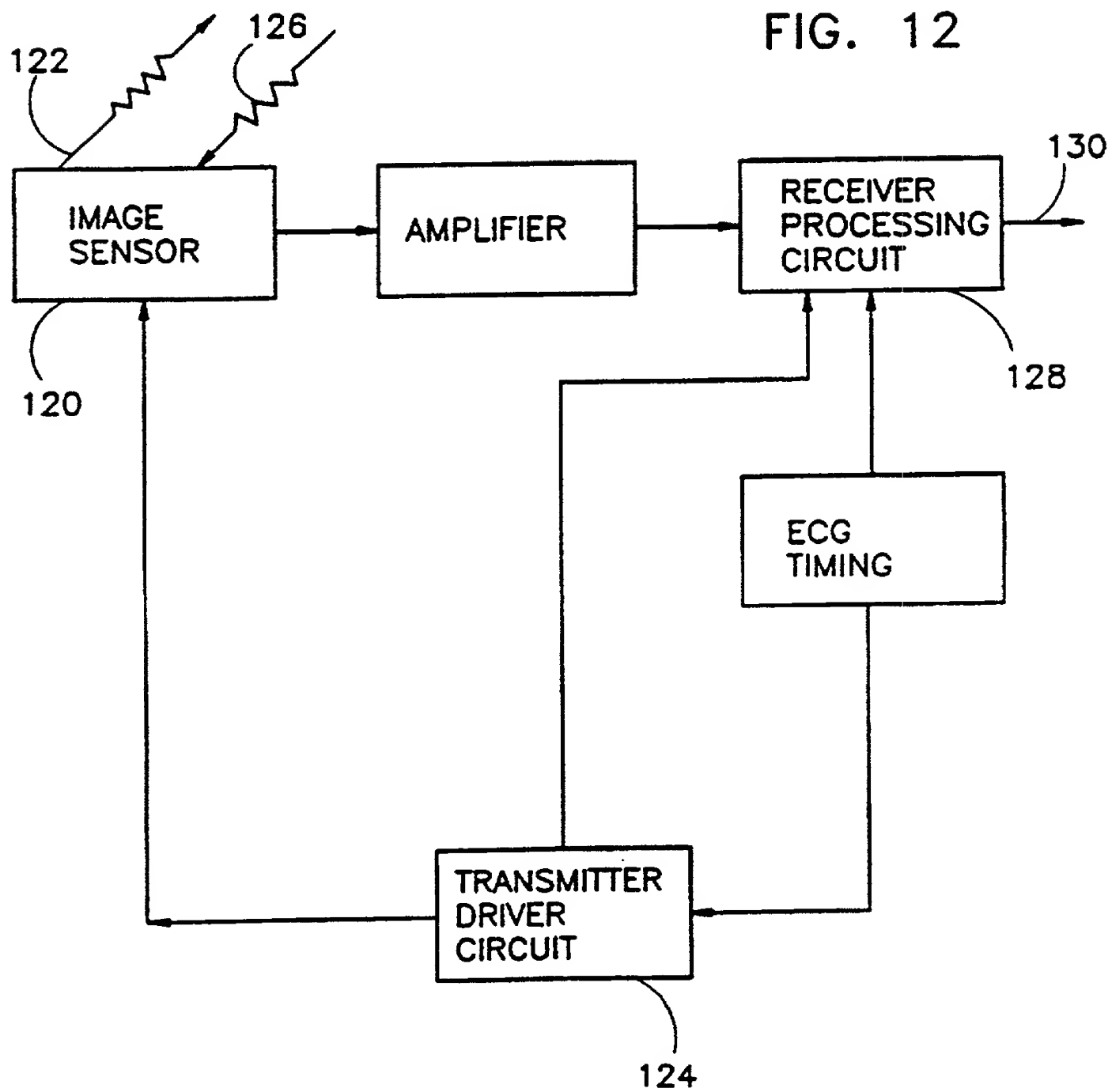
12/19

FIG. 11



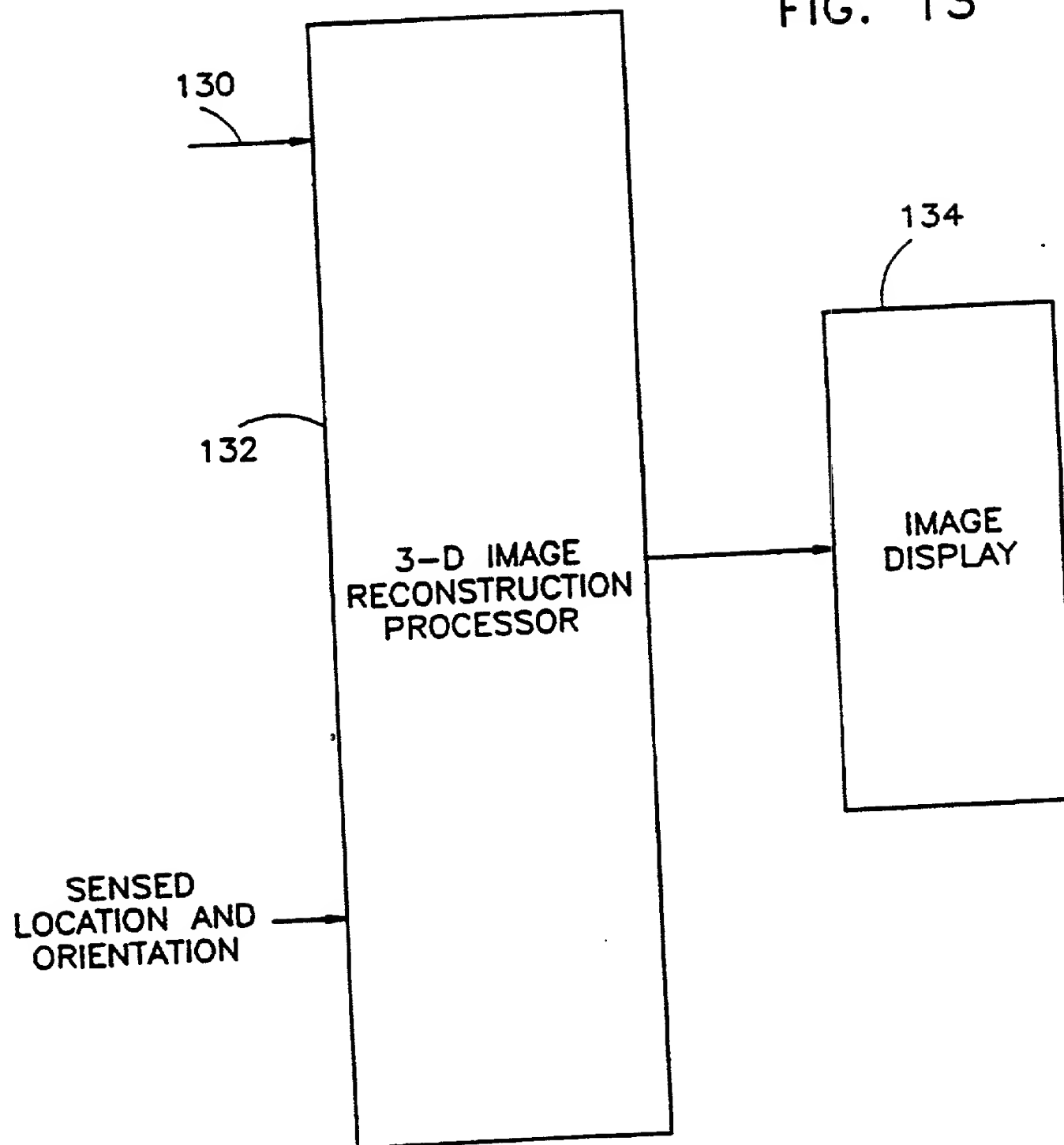
13/19

FIG. 12



14/19

FIG. 13



15/19

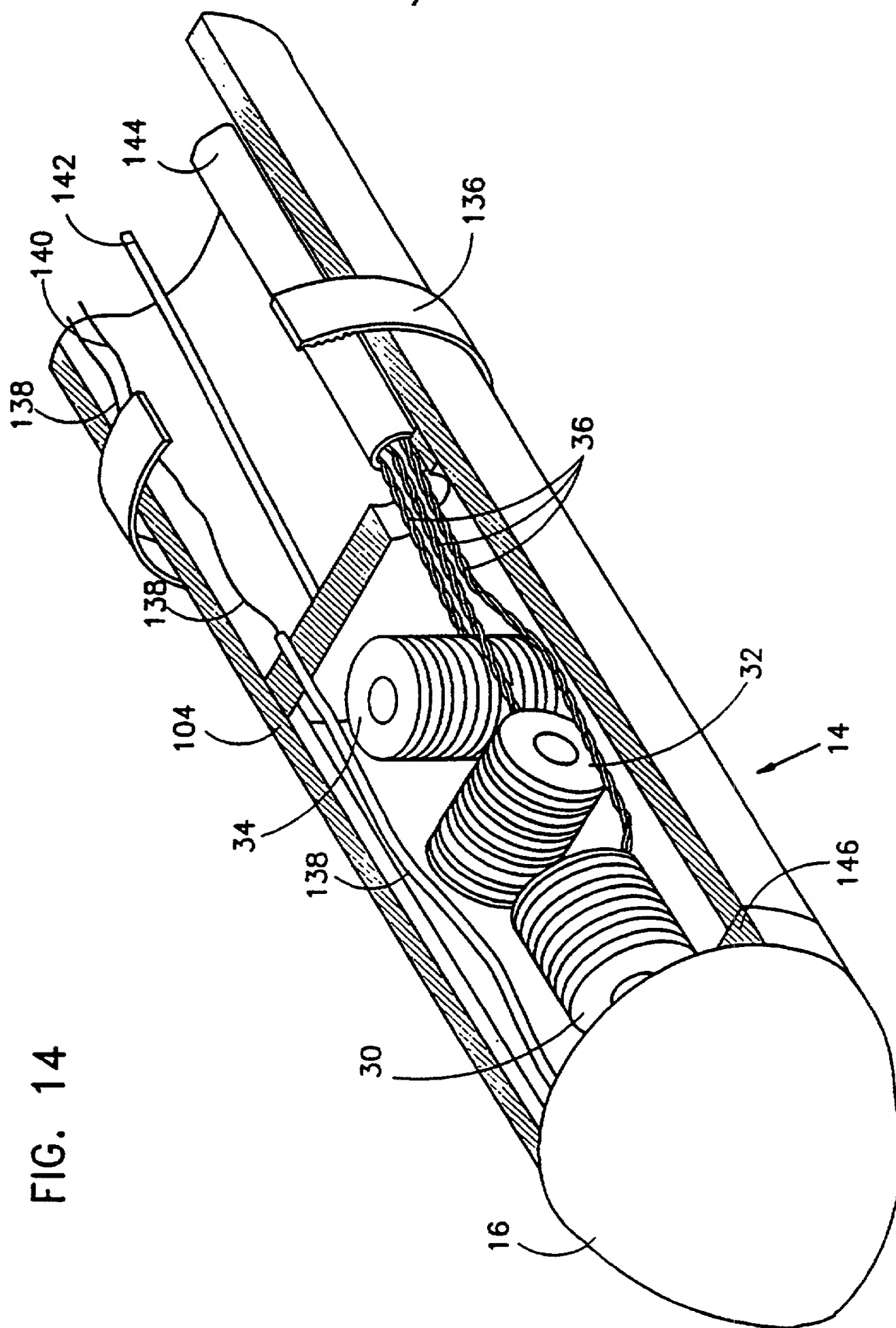


FIG. 14

16/19

FIG. 15

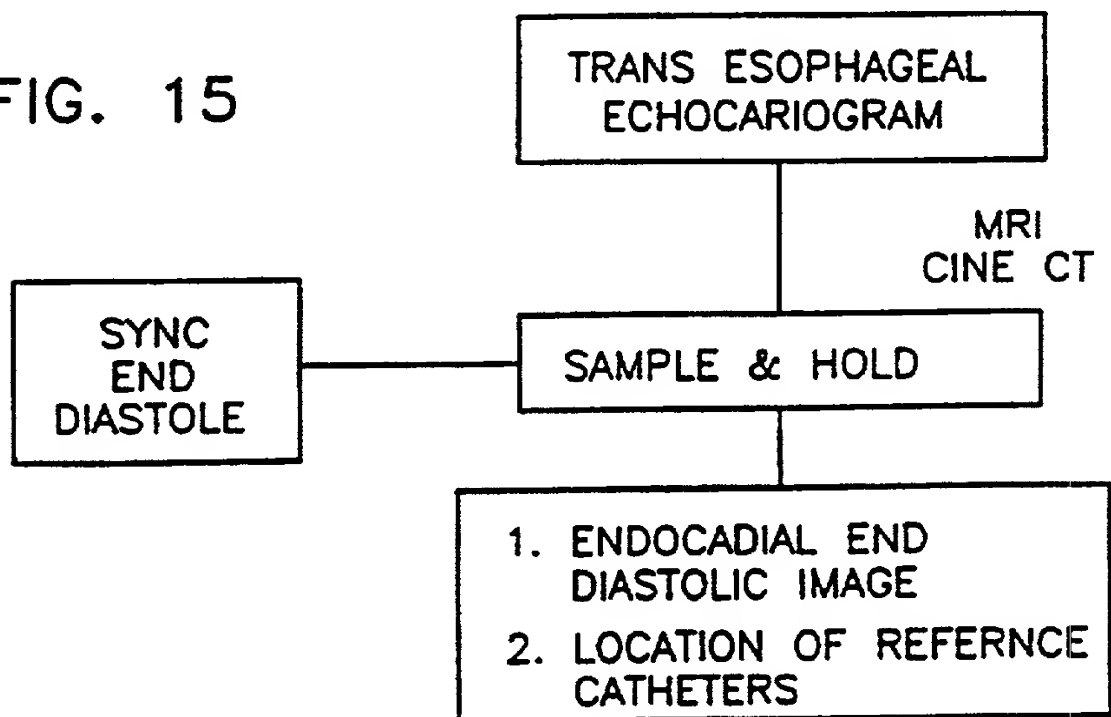
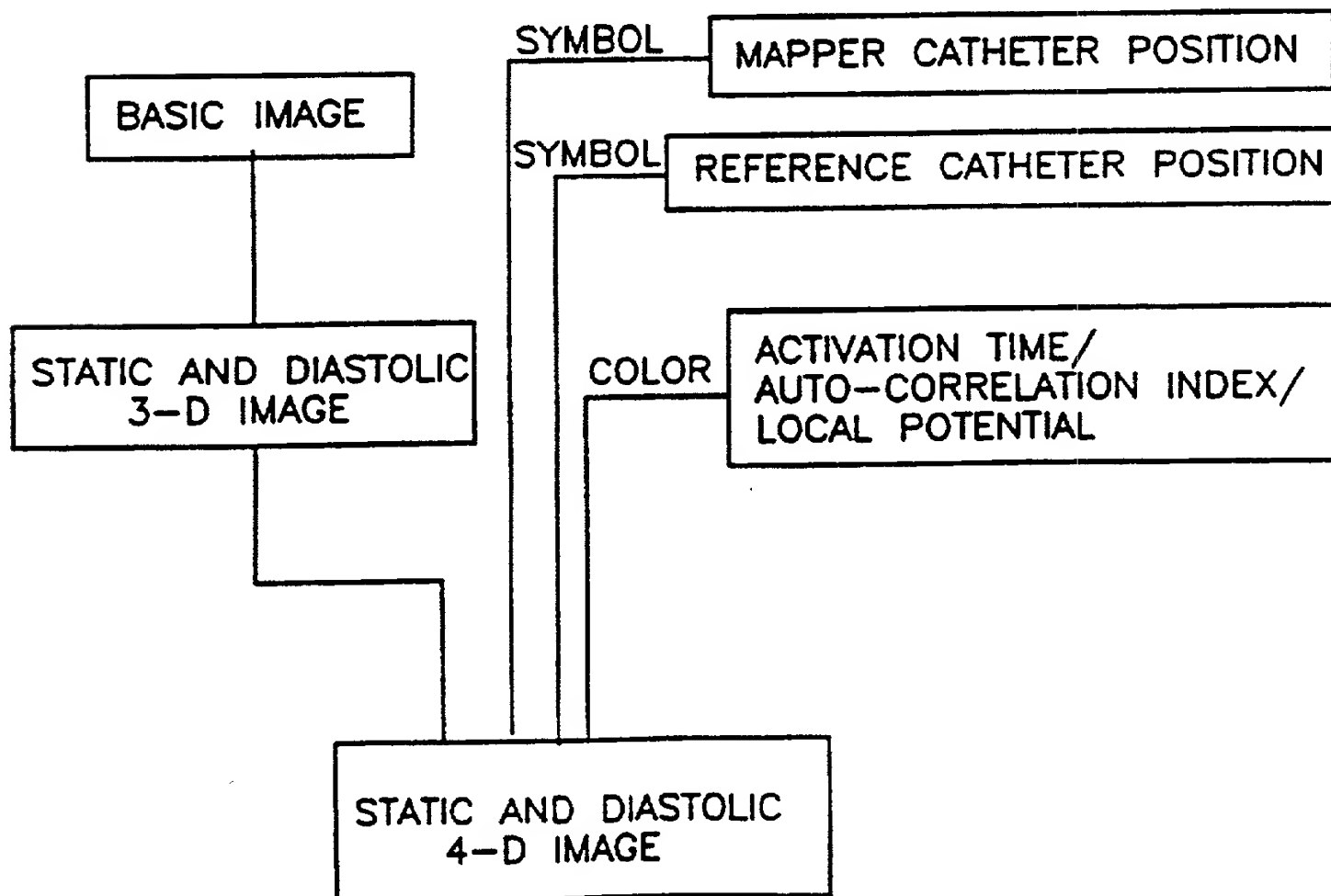


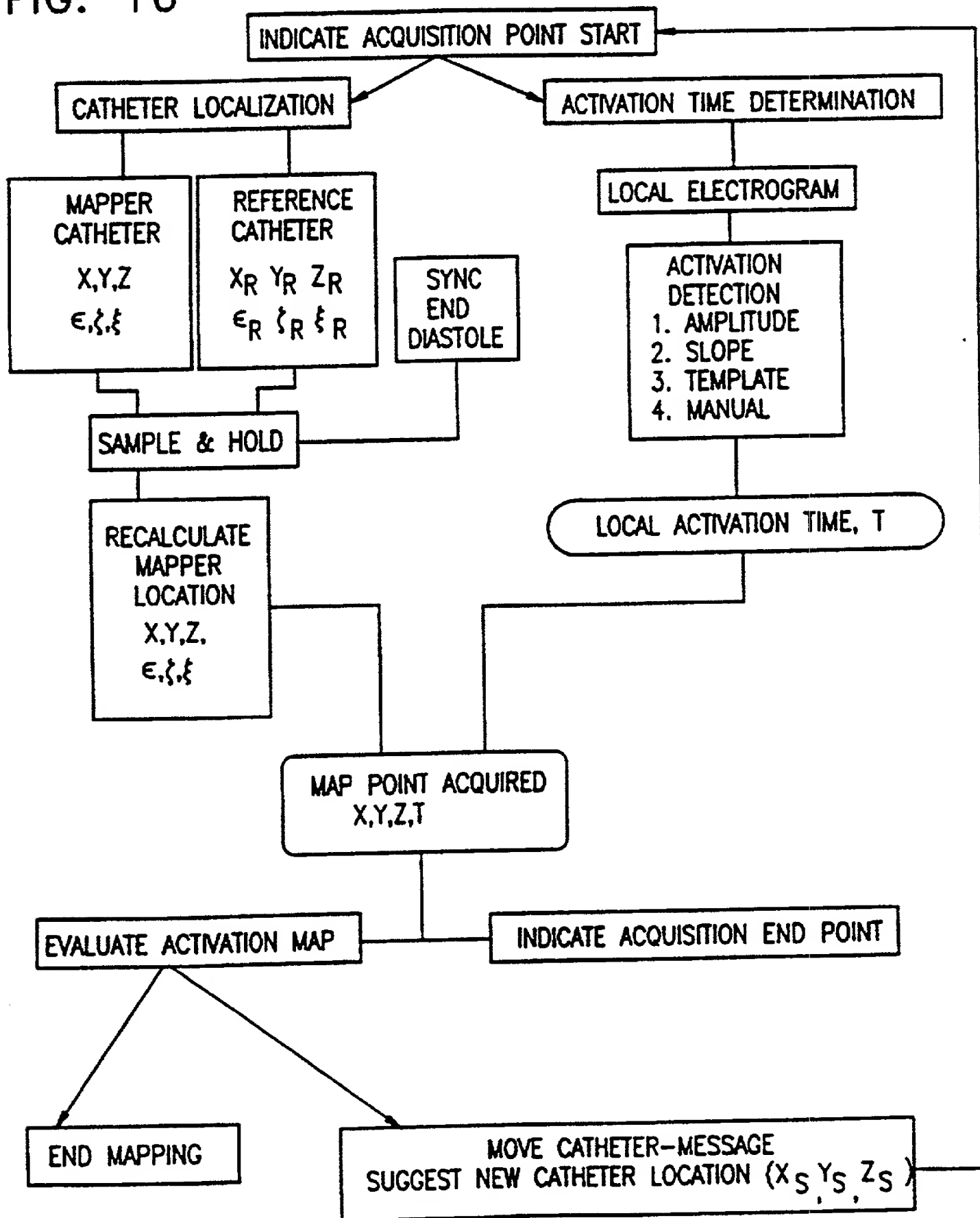
FIG. 19



17/19

FIG. 16

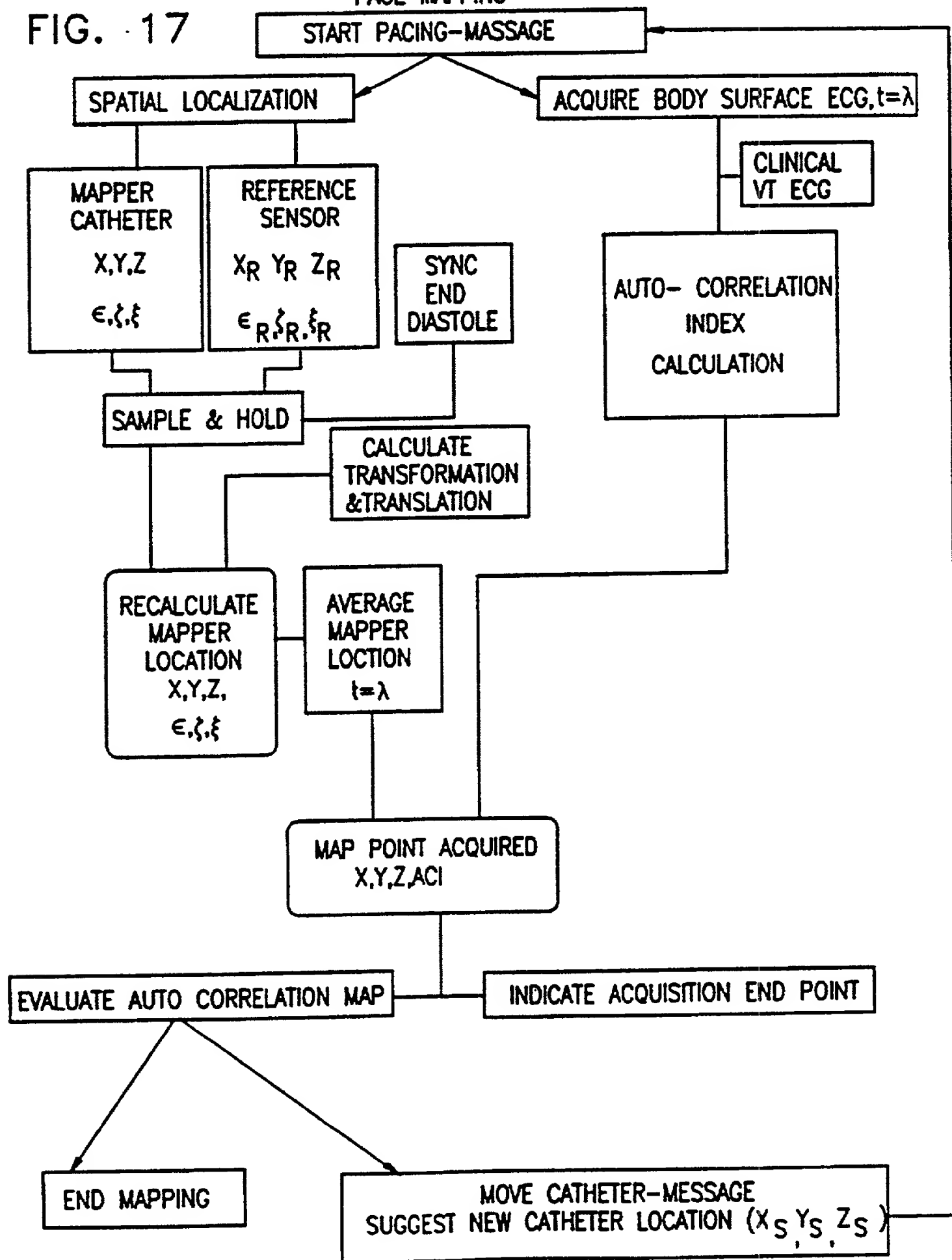
## COMPUTERIZED ENDOCARDIAL ACTIVATION MAPPING



18/19

PACE MAPPING

FIG. 17





19/19

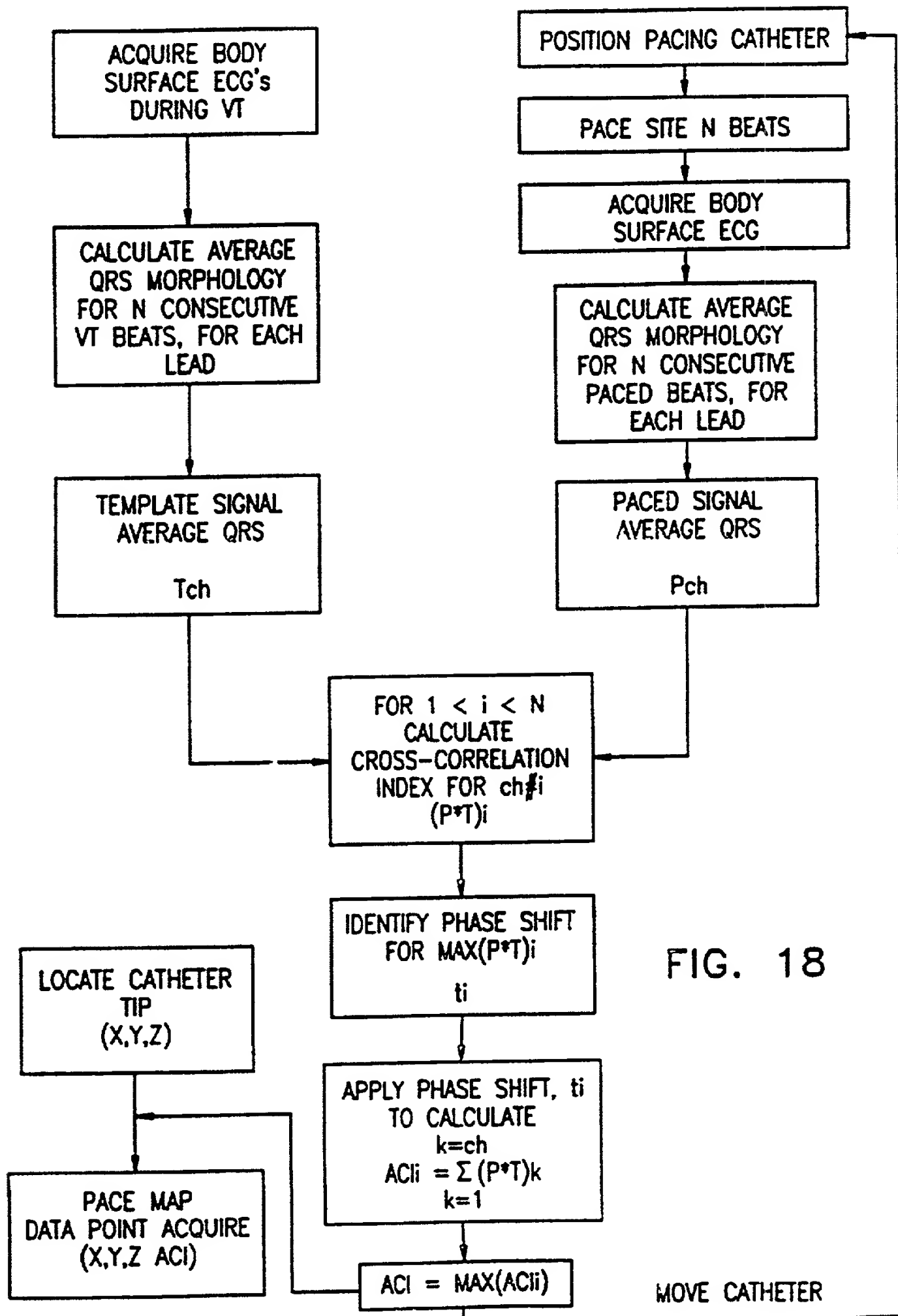


FIG. 18

**DECLARATION AND POWER OF ATTORNEY - ORIGINAL APPLICATION**Attorney's Docket No.  
**20140-85**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MEDICAL DIAGNOSIS, TREATMENT AND IMAGING SYSTEMS**

the specification of which

(check one) ☐ is attached hereto.☒ was filed on February 19, 1997as Application Serial No. 08/793,371and was amended on February 19, 1997

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below

APPLICATION NUMBER	FILING DATE

**PRIOR U.S. APPLICATION(S)**

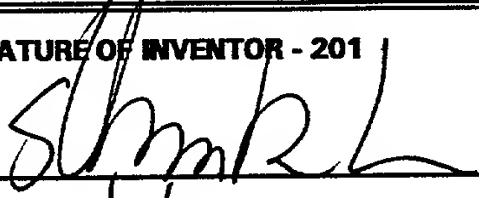
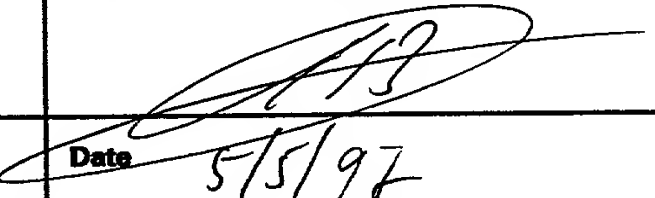
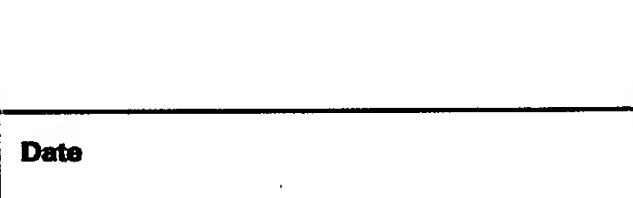
APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
PCT/US95/01103	24.01.95	Pending
08/293,859	19.08.94	Abandoned

## POWER OF ATTORNEY

As a named inventor, I hereby appoint Michael I. Wolfson, Registration No. 24,750; William H. Dippert, Registration No. 26,723; R. Lewis Gable, Registration No. 22,479; Morey B. Wildes, Registration No. 36,968; and Regan L. Trumper, Registration No. 38,345 to prosecute this application and to transact all business in the United States Patent and Trademark Office in connection therewith.

<b>SEND CORRESPONDENCE TO:</b> William H. Dippert COWAN, LIEBOWITZ & LATMAN, P.C. 1133 Avenue of the Americas New York, New York 10036-6799		<b>Direct telephone calls to:</b>  William H. Dippert (212) 790-9200		
<b>201</b>	<b>Full Name of Inventor</b>	<b>Family Name</b> BEN-HAIM	<b>First Given Name</b> Shlomo	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b> Haifa	<b>State or Foreign Country</b> Israel	<b>Country of Citizenship</b> Israel
	<b>Post Office Address</b>	101 Yafe Nof Street, Haifa 34454, Israel		
<b>202</b>	<b>Full Name of Inventor</b>	<b>Family Name</b> OSADCHY	<b>First Given Name</b> Daniel	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b> Haifa	<b>State or Foreign Country</b> Israel	<b>Country of Citizenship</b> Israel
	<b>Post Office Address</b>	48A Harofe Street, Haifa 34367, Israel		
<b>203</b>	<b>Full Name of Inventor</b>	<b>Family Name</b> PELESS	<b>First Given Name</b> Udi	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b> Even-Yehuda	<b>State or Foreign Country</b> Israel	<b>Country of Citizenship</b> Israel
	<b>Post Office Address</b>	P.O.B. 420, Even-Yehuda 45000, Israel		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<b>SIGNATURE OF INVENTOR - 201</b> 	<b>SIGNATURE OF INVENTOR - 202</b> 	<b>SIGNATURE OF INVENTOR - 203</b> 
<b>Date</b> 5/14/97	<b>Date</b> 5/5/97	<b>Date</b>

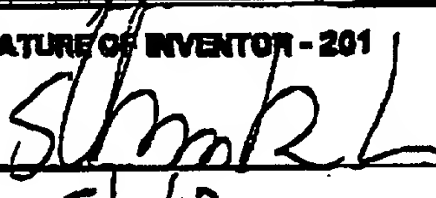
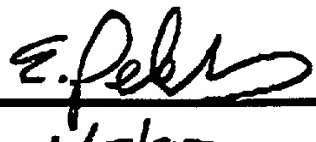
3.11.1997 14:44 BIOSENSE (ISRAEL) LTD. 212 790 9200 FRIENDLY MACHINES P.257

## POWER OF ATTORNEY

As a named inventor, I hereby appoint Michael I. Wolfson, Registration No. 24,750; William H. Dippert, Registration No. 26,723; R. Lewis Gable, Registration No. 22,479; Morey B. Wildes, Registration No. 36,968; and Regan L. Trumper, Registration No. 38,345 to prosecute this application and to transact all business in the United States Patent and Trademark Office in connection therewith.

SEND CORRESPONDENCE TO:			Direct telephone calls to:	
William H. Dippert COWAN, LIEBOWITZ & LATMAN, P.C. 1133 Avenue of the Americas New York, New York 10036-6799			William H. Dippert (212) 790-9200	
201	Full Name of Inventor	Family Name BEN-HAIM	First Given Name Shlomo	Second Given Name
	Residence & Citizenship	City Haifa	State or Foreign Country Israel	Country of Citizenship Israel
	Post Office Address	101 Yafe Nof Street, Haifa 34454, Israel		
202	Full Name of Inventor	Family Name OSADCHY	First Given Name Daniel	Second Given Name
	Residence & Citizenship	City Haifa	State or Foreign Country Israel	Country of Citizenship Israel
	Post Office Address	48A Harofe Street, Haifa 34367, Israel		
203	Full Name of Inventor	Family Name PELESS	First Given Name Udi	Second Given Name
	Residence & Citizenship	City Even-Yehuda	State or Foreign Country Israel	Country of Citizenship Israel
	Post Office Address	P.O.B. 420, Even-Yehuda 45000, Israel		

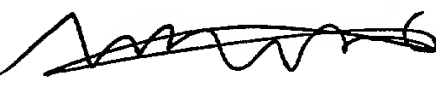
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR - 201	SIGNATURE OF INVENTOR - 202	SIGNATURE OF INVENTOR - 203
		
Date 5/1/97	Date	Date 4/5/97

**ADDITIONAL INVENTOR(S)**

204	<b>Full Name of Inventor</b>	<b>Family Name</b> GREENBERG	<b>First Given Name</b> Ilan	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b> Haifa	<b>State or Foreign Country</b> Israel	<b>Country of Citizenship</b> Israel
	<b>Post Office Address</b>	15 Geula Street, Haifa 33198, Israel		
205	<b>Full Name of Inventor</b>	<b>Family Name</b>	<b>First Given Name</b>	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b>	<b>State or Foreign Country</b>	<b>Country of Citizenship</b>
	<b>Post Office Address</b>			
206	<b>Full Name of Inventor</b>	<b>Family Name</b>	<b>First Given Name</b>	<b>Second Given Name</b>
	<b>Residence &amp; Citizenship</b>	<b>City</b>	<b>State or Foreign Country</b>	<b>Country of Citizenship</b>
	<b>Post Office Address</b>			

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<b>SIGNATURE OF INVENTOR - 204</b> 	<b>SIGNATURE OF INVENTOR - 205</b>	<b>SIGNATURE OF INVENTOR - 206</b>
<b>Date</b> 5.1.97	<b>Date</b>	<b>Date</b>